

Registration

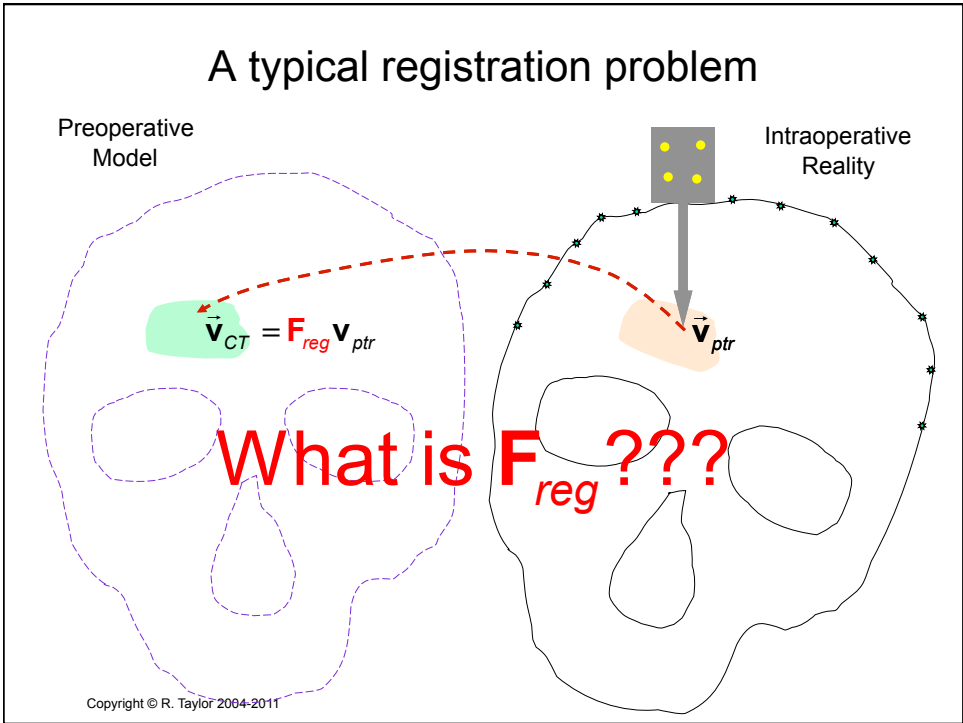
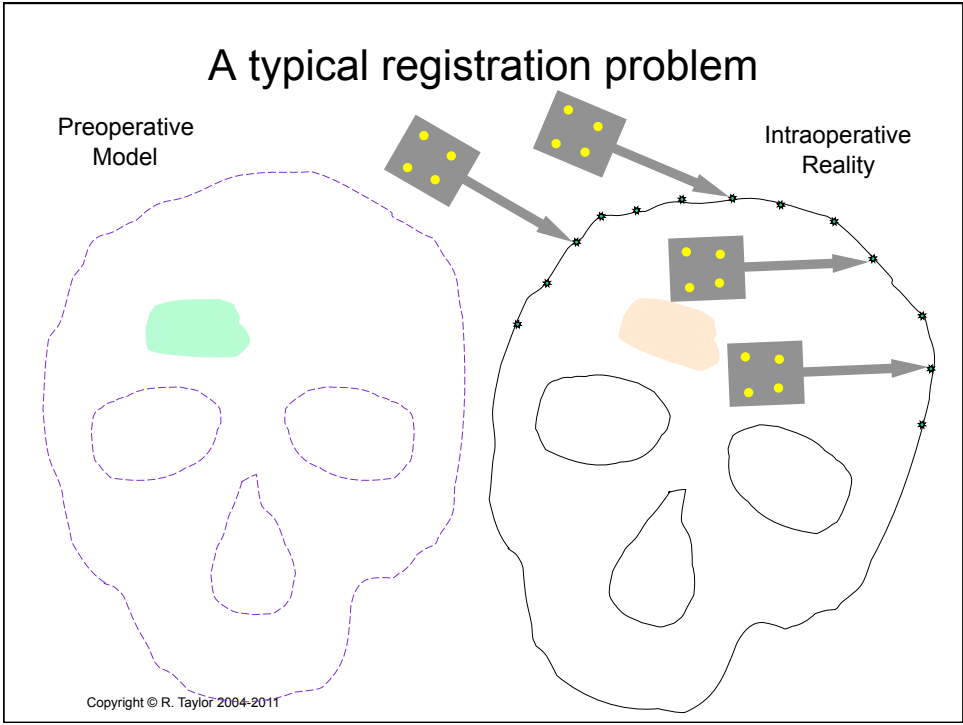
600.445 Computer-Integrated Surgery
Russell H. Taylor

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What needs registering?

- **Preoperative Data**
 - 2D & 3D medical images
 - Models
 - Preoperative positions
- **Intraoperative Data**
 - 2D & 3D medical images
 - Models
 - Intraoperative positioning information
- **The Patient**

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Framework for feature-based methods

- Definition of coordinate system relations
- Segmentation of reference features
- Definition of disparity function between features
- Optimization of disparity function

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Taxonomy of methods

- Feature-based
- Intensity-based

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Definitions

Overall Goal: Given two coordinate systems,

Ref_A & Ref_B

and coordinates

x_A & x_B

associated with homologous features in the two coordinate systems, the general goal is to determine a transformation function T that transforms one set of coordinates into the other:

$$x_A = T(x_B)$$

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Definitions

- **Rigid Transformation:** Essentially, our old friends 2D & 3D coordinate transformations:

$$T(x) = R \cdot x + p$$

The key assumption is that deformations may be neglected.

- **Elastic Transformation:** Cases where must take deformations into account. Many different flavors, depending on what is being deformed

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Uses of Rigid Transformations

- Register (approximately) multiple image data sets
- Transfer coordinates from preoperative data to reality (especially in orthopaedics & neurosurgery)
- Initialize non-rigid transformations

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Uses of Elastic Transformations

- Register different patients to common data base (e.g., for statistical analysis)
- Overlay atlas information onto patient data
- Study time-varying deformations
- Assist segmentation

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Typical Features

- Point fiducials
- Point anatomical landmarks
- Ridge curves
- Contours
- Surfaces
- Line fiducials

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Distance Functions

Given two (possibly distributed) features F_i and F_j , need to define a distance metric distance (F_i , F_j) between them. Some choices include:

- Minimum distance between points
- Maximum of minimum distances
- Area between line features
- Volume between surface features
- Area between point and line
- etc.

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Disparity Functions Between Feature Sets

Let $\mathcal{F}_A = \{\dots F_{A_i} \dots\}$ and $\mathcal{F}_B = \{\dots F_{B_i} \dots\}$ be corresponding sets of features in Ref_A and Ref_B , respectively. We need to define an appropriate disparity function $D(\mathcal{F}_A, \mathcal{F}_B)$ between feature sets. Some typical choices include:

$$D = \sum_i w_i [\text{distance}(F_{A_i}, \mathbf{T}(F_{B_i}))]^2$$

$$D = \max_i \text{distance}(F_{A_i}, \mathbf{T}(F_{B_i}))$$

$$D = \text{median}_i \text{distance}(F_{A_i}, \mathbf{T}(F_{B_i}))$$

$$D = \text{Cardinality}\{i \mid \text{distance}(F_{A_i}, \mathbf{T}(F_{B_i})) > \text{threshold}\}$$

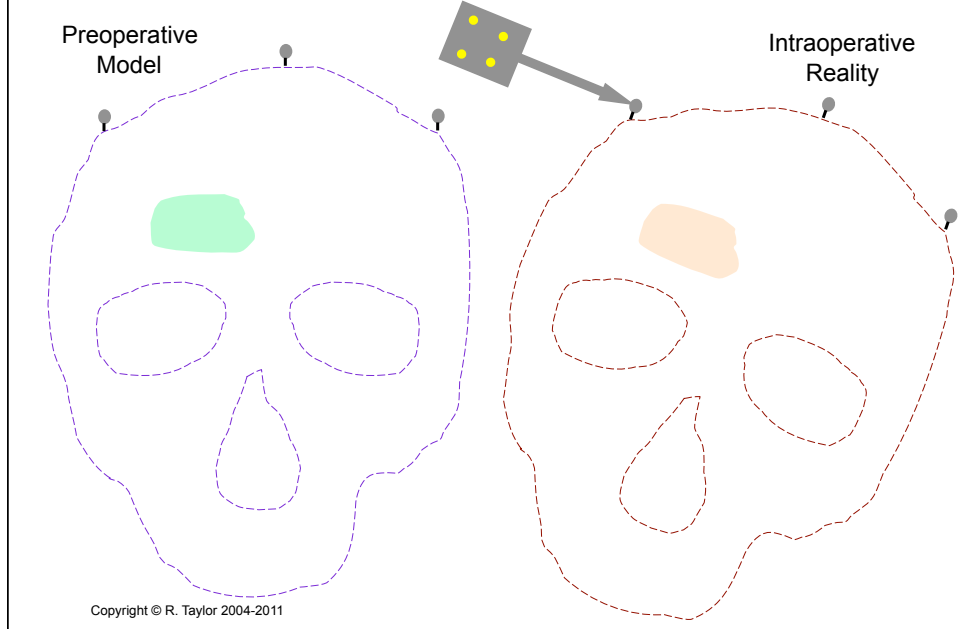
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Optimization

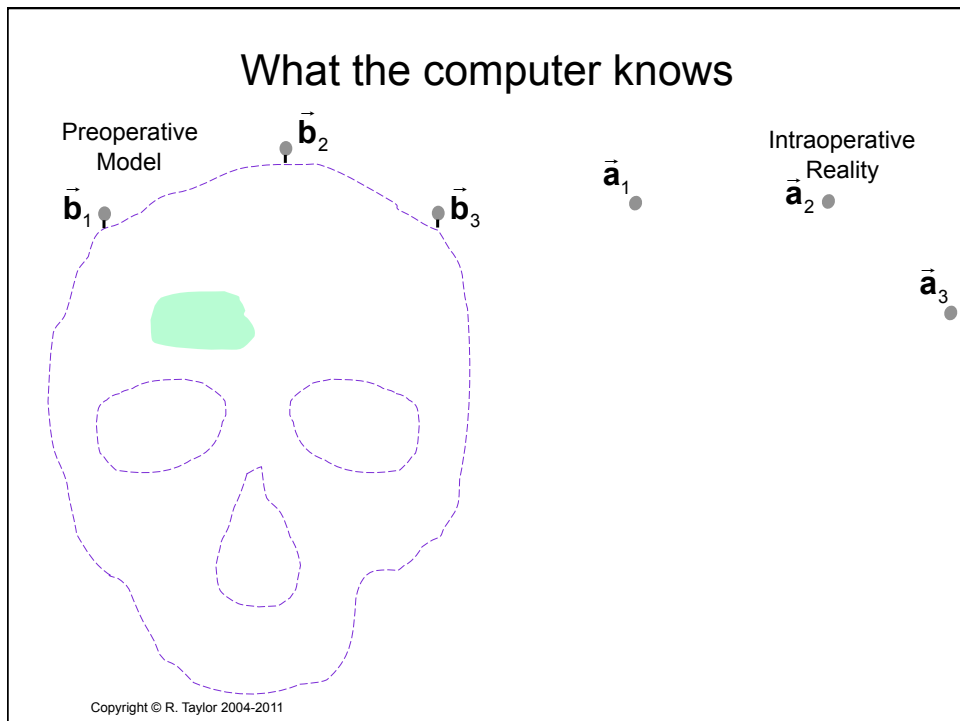
- Global vs Local
- Numerical vs Direct Solution
- Local Minima

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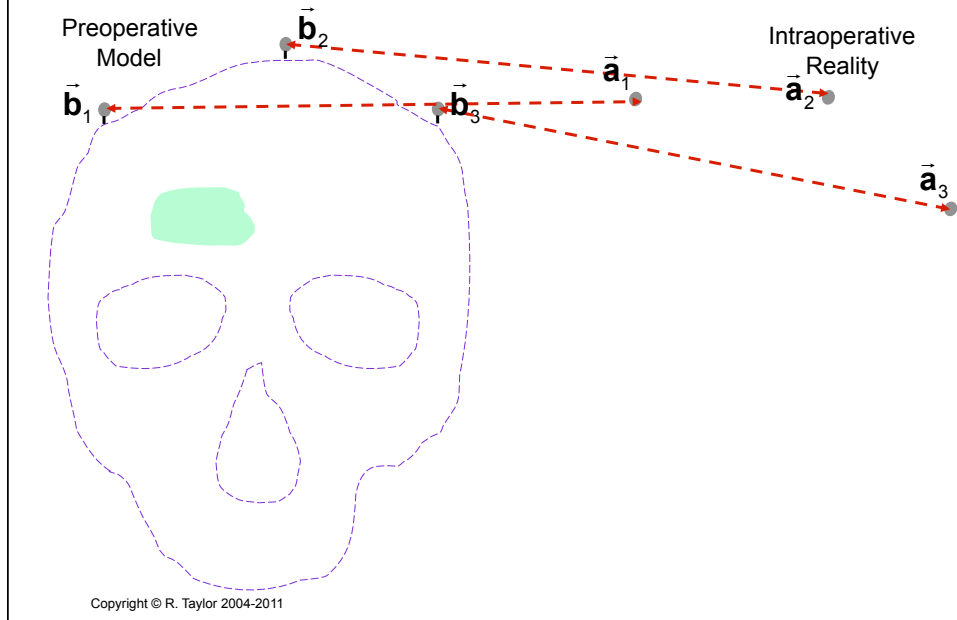
A typical fiducial-based registration problem



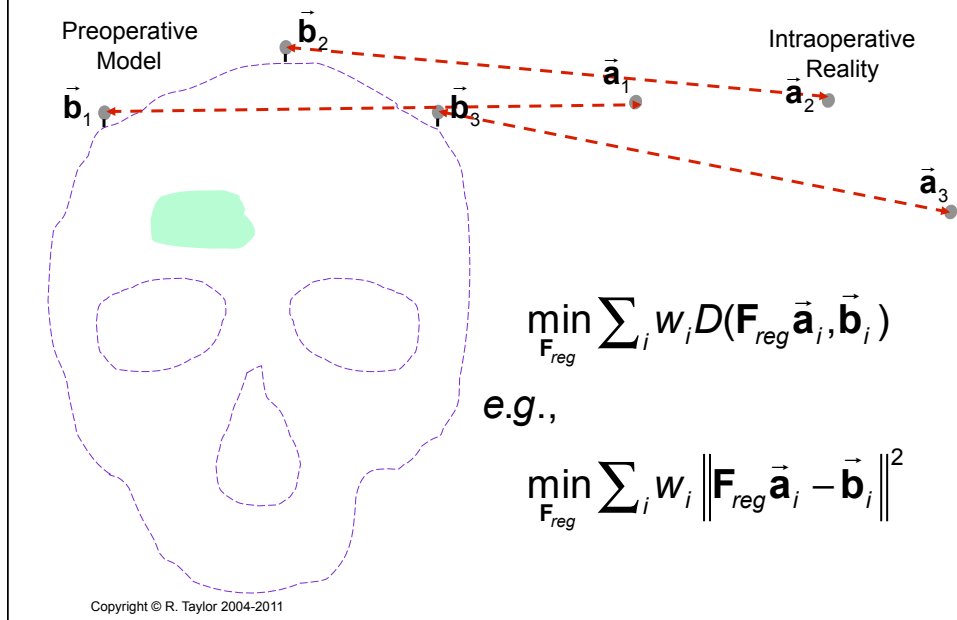
What the computer knows

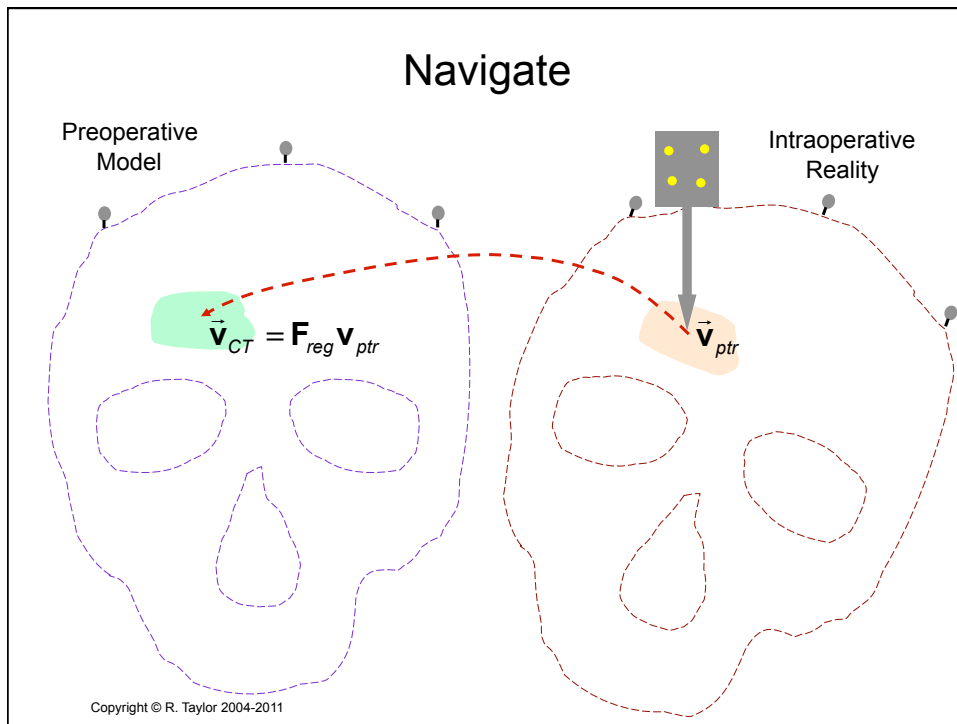


Identify corresponding points



Find best rigid transformation!





Sampled 3D data to surface models

Outline:

- Select large number of sample points
- Determine distance function $d_S(\mathbf{f}, \mathcal{F})$ for a point \mathbf{f} to a surface feature \mathcal{F} .
- Use d_S to develop disparity function D .

Examples

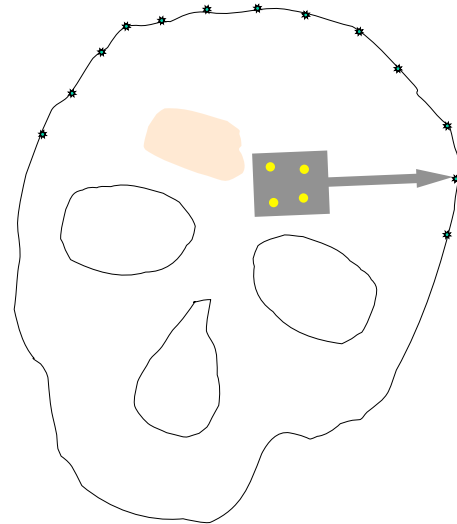
- Head-fit-hat algorithm [Levin et al, 1988; Felizzari et al, 1989]
- Distance maps [e.g., Lawless et al]
- Iterative closest point [Besl and McKay, 1992]

A typical surface registration problem

Preoperative
Model



Intraoperative
Reality



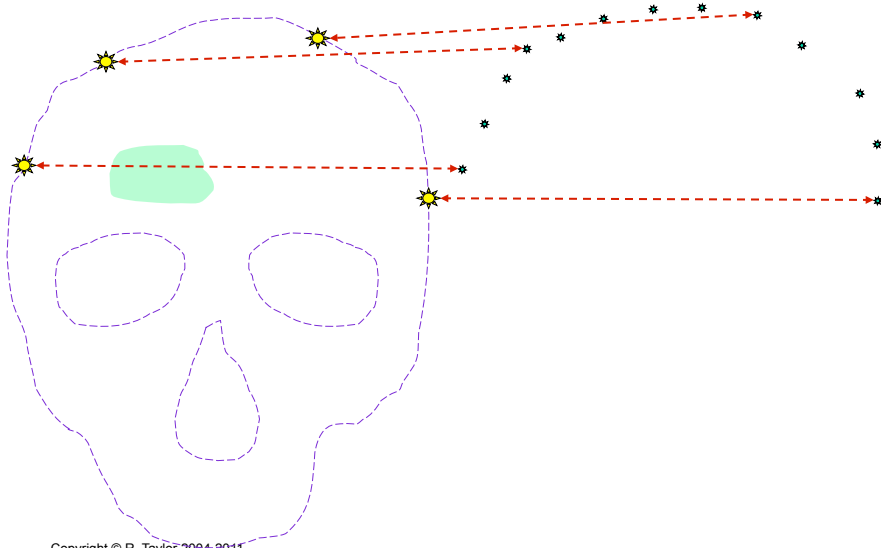
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What the computer knows



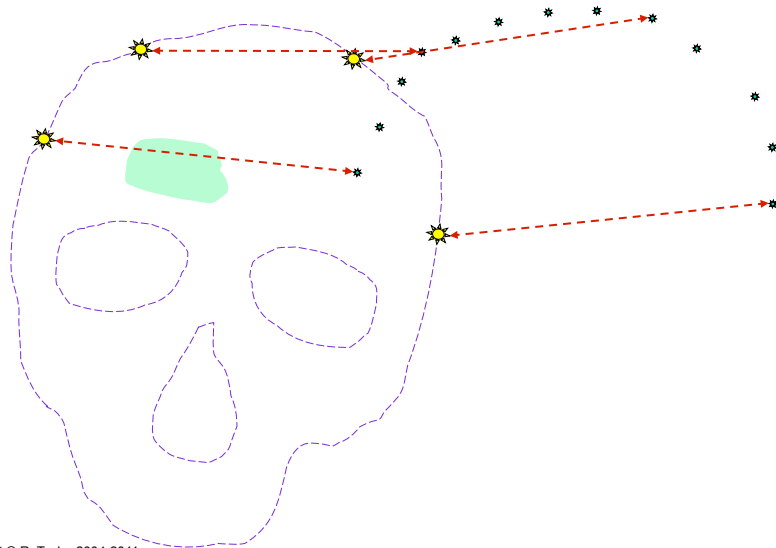
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Find homologous points & pull!



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Find homologous points & pull!



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Find homologous points & pull!

Iterate this until converge

Find new point pairs every iteration

Key challenge is finding point pairs efficiently.



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Head in Hat Algorithm

- Levin et al. 1988; Felzzeri et al. 1989
- Originally used for PET-to-MRI/CT registration
- Given $\mathbf{f}_1 \in \mathcal{F}_1$, and a surface model \mathcal{F}_D , computes a rigid transformation \mathbf{T} that minimizes

$$D = \sum_i [d_S(\mathcal{F}_D, \mathbf{T} \cdot \mathbf{f}_i)]^2$$

where d_S is defined below. given a good initial guess for \mathbf{T} .

- Optimization uses standard numerical method (steepest gradient descent [Powell]) to find six parameters (3 rotations, 3 translations) defining \mathbf{T}

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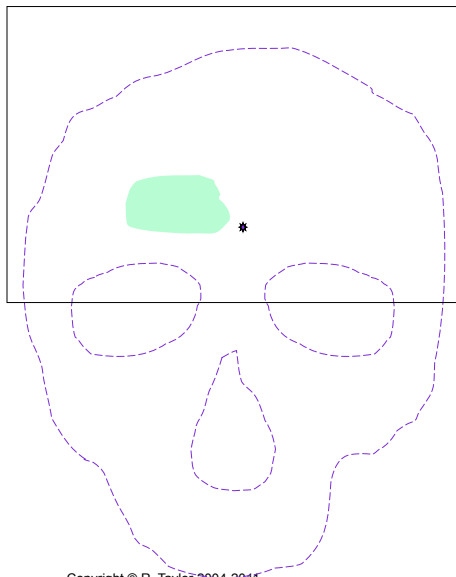
Head in Hat Algorithm

Definition of $d_S(\mathcal{F}_B, f_i)$

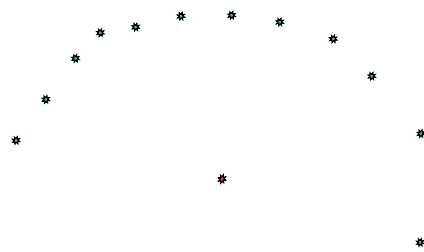
1. Compute centroid g_B of surface \mathcal{F}_B .
2. Determine a point q_i that lies on the intersection of the line $g_B - f_i$ and \mathcal{F}_B .
3. Then, $d_S(\mathcal{F}_B, f_i) := |q_i - f_i|$

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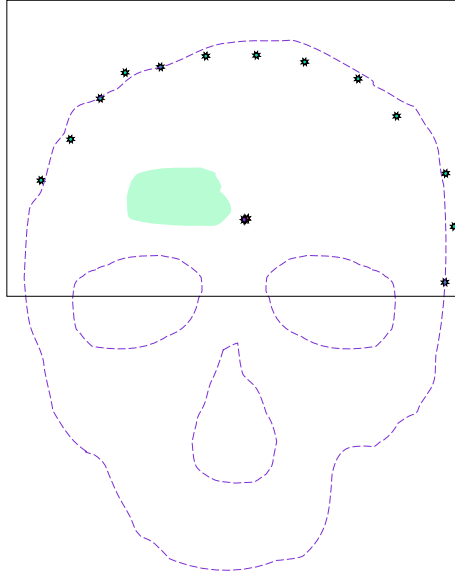
Head-in-hat algorithm: step 0



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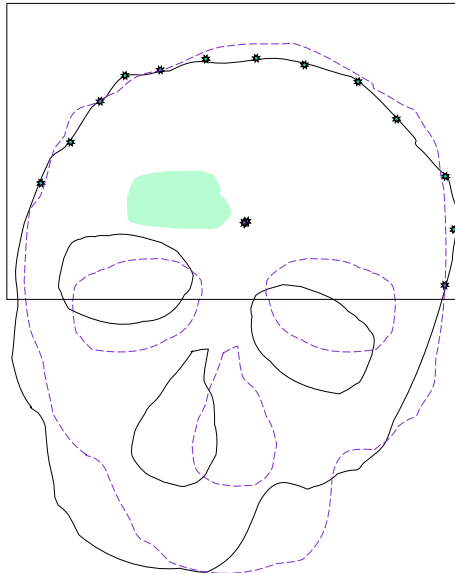


Head-in-hat algorithm: step1



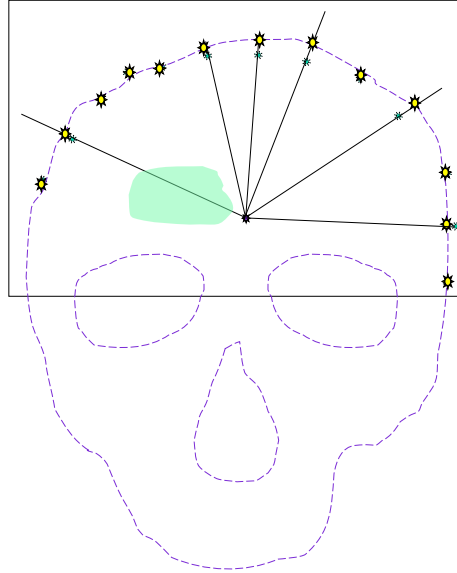
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Head-in-hat algorithm: step1



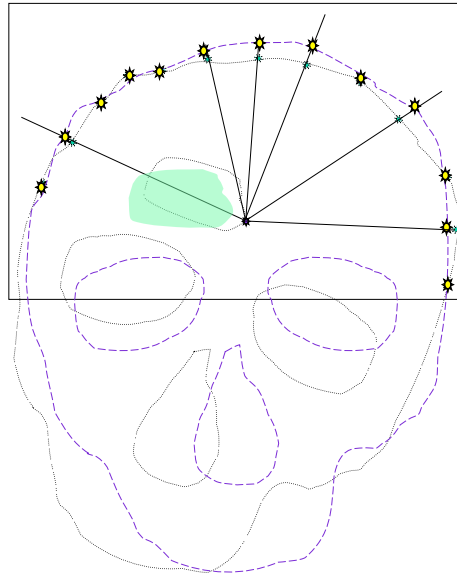
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Head-in-hat algorithm: step 2



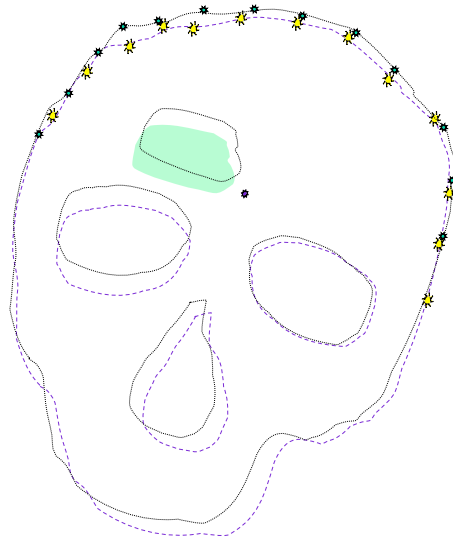
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Head-in-hat algorithm: step 2



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Head-in-hat algorithm: step 3



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Head in Hat Algorithm

- **Strengths**
 - Moderately straightforward to implement
 - Slow step is intersecting rays with surface model
 - Works reasonably well for original purpose (registration of skin of head) if have adequate initial guess
- **Weaknesses**
 - Local minima
 - Assumptions behind use of centroid
 - Requires good initial guess and close matches during convergence

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Minimizing Rigid Registration Errors

Typically, given a set of points $\{\mathbf{a}_i\}$ in one coordinate system and another set of points $\{\mathbf{b}_i\}$ in a second coordinate system

Goal is to find $[\mathbf{R}, \mathbf{p}]$ that minimizes

$$\eta = \sum_i \mathbf{e}_i \cdot \mathbf{e}_i$$

where

$$\mathbf{e}_i = (\mathbf{R} \cdot \mathbf{a}_i + \mathbf{p}) - \mathbf{b}_i$$

This is tricky, because of \mathbf{R} .

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Minimizing Rigid Registration Errors

Step 1: Compute

$$\bar{\mathbf{a}} = \frac{1}{N} \sum_{i=1}^N \bar{\mathbf{a}}_i$$

$$\bar{\mathbf{b}} = \frac{1}{N} \sum_{i=1}^N \bar{\mathbf{b}}_i$$

$$\tilde{\mathbf{a}}_i = \bar{\mathbf{a}}_i - \bar{\mathbf{a}}$$

$$\tilde{\mathbf{b}}_i = \bar{\mathbf{b}}_i - \bar{\mathbf{b}}$$

Step 2: Find \mathbf{R} that minimizes

$$\sum_i (\mathbf{R} \cdot \tilde{\mathbf{a}}_i - \tilde{\mathbf{b}}_i)^2$$

Step 3: Find $\bar{\mathbf{p}}$

$$\bar{\mathbf{p}} = \bar{\mathbf{b}} - \mathbf{R} \cdot \bar{\mathbf{a}}$$

Step 4: Desired transformation is

$$\mathbf{F} = \text{Frame}(\mathbf{R}, \bar{\mathbf{p}})$$

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Solving for R: iteration method

Given $\{\dots, (\tilde{\mathbf{a}}_i, \tilde{\mathbf{b}}_i), \dots\}$ want to find $\mathbf{R} = \arg \min \sum_i (\mathbf{R}\tilde{\mathbf{a}}_i - \tilde{\mathbf{b}}_i)$

Step 0: Make an initial guess \mathbf{R}_0

Step 1: Given \mathbf{R}_k , compute $\tilde{\mathbf{b}}_i = \mathbf{R}_k^{-1}\tilde{\mathbf{b}}_i$

Step 2: Compute $\Delta\mathbf{R}$ that minimizes

$$\sum_i (\Delta\mathbf{R}\tilde{\mathbf{a}}_i - \tilde{\mathbf{b}}_i)^2$$

Step 3: Set $\mathbf{R}_{k+1} = \mathbf{R}_k\Delta\mathbf{R}$

Step 4: Iterate Steps 1-3 until residual error is sufficiently small
(or other termination condition)

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Iterative method: Solving for $\Delta\mathbf{R}$

Approximate $\Delta\mathbf{R}$ as $(\mathbf{I} + skew(\bar{\alpha}))$. I.e.,

$$\Delta\mathbf{R} \bullet \mathbf{v} \approx \mathbf{v} + \bar{\alpha} \times \mathbf{v}$$

for any vector \mathbf{v} . Then, our least squares problem becomes

$$\min_{\Delta\mathbf{R}} \sum_i (\Delta\mathbf{R} \bullet \tilde{\mathbf{a}}_i - \tilde{\mathbf{b}}_i)^2 \approx \min_{\bar{\alpha}} \sum_i (\tilde{\mathbf{a}}_i - \tilde{\mathbf{b}}_i + \bar{\alpha} \times \tilde{\mathbf{a}}_i)^2$$

This is linear least squares problem in $\bar{\alpha}$.

Then compute $\Delta\mathbf{R}(\bar{\alpha})$.

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Direct Techniques to solve for R

- Method due to K. Arun, et. al., IEEE PAMI, Vol 9, no 5, pp 698-700, Sept 1987

Step 1: Compute

$$\mathbf{H} = \sum_i \begin{bmatrix} \bar{a}_{i,x} \bar{b}_{i,x} & \bar{a}_{i,x} \bar{b}_{i,y} & \bar{a}_{i,x} \bar{b}_{i,z} \\ \bar{a}_{i,y} \bar{b}_{i,x} & \bar{a}_{i,y} \bar{b}_{i,y} & \bar{a}_{i,y} \bar{b}_{i,z} \\ \bar{a}_{i,z} \bar{b}_{i,x} & \bar{a}_{i,z} \bar{b}_{i,y} & \bar{a}_{i,z} \bar{b}_{i,z} \end{bmatrix}$$

Step 2: Compute the SVD of $\mathbf{H} = \mathbf{USV}^t$

Step 3: $\mathbf{R} = \mathbf{VU}^t$

Step 4: Verify $Det(\mathbf{R}) = 1$. If not, then algorithm may fail.

- Failure is rare, and mostly fixable. The paper has details.

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Quarternion Technique to solve for R

- B.K.P. Horn, "Closed form solution of absolute orientation using unit quaternions", J. Opt. Soc. America, A vol. 4, no. 4, pp 629-642, Apr. 1987.
- Method described as reported in Besl and McKay, "A method for registration of 3D shapes", IEEE Trans. on Pattern Analysis and Machine Intelligence, vol. 14, no. 2, February 1992.
- Solves a 4x4 eigenvalue problem to find a unit quaternion corresponding to the rotation
- This quaternion may be converted in closed form to get a more conventional rotation matrix

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Digression: quaternions

Invented by Hamilton as a way to express the ratio of vectors. Can be thought of as

$$\begin{aligned} \text{4 elements:} & \quad \mathbf{q} = [q_0, q_1, q_2, q_3] \\ \text{scalar \& vector:} & \quad \mathbf{q} = s + \vec{v} = [s, \vec{v}] \\ & \quad \mathbf{q} = q_0 + q_1 \vec{i} + q_2 \vec{j} + q_3 \vec{k} \end{aligned}$$

Properties:

$$\begin{aligned} \text{Linearity:} & \quad \lambda \mathbf{q}_1 + \mu \mathbf{q}_2 = [\lambda s_1 + \mu s_2, \lambda \vec{v}_1 + \mu \vec{v}_2] \\ \text{Conjugate:} & \quad \mathbf{q}^* = s - \vec{v} = [s, -\vec{v}] \\ \text{Product:} & \quad \mathbf{q}_1 \circ \mathbf{q}_2 = [s_1 s_2 - \vec{v}_1 \bullet \vec{v}_2, s_1 \vec{v}_2 + s_2 \vec{v}_1 + \vec{v}_1 \times \vec{v}_2] \\ \text{Transform vector:} & \quad \mathbf{q} \circ \vec{p} = \mathbf{q} \circ [0, \vec{p}] \circ \mathbf{q}^* \\ \text{Norm:} & \quad \|\mathbf{q}\| = \sqrt{s^2 + \vec{v} \bullet \vec{v}} = \sqrt{q_0^2 + q_1^2 + q_2^2 + q_3^2} \end{aligned}$$

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Digression continued: unit quaternions

We can associate a rotation by angle θ about an axis \vec{n} with the unit quaternion:

$$\text{Rot}(\vec{n}, \theta) \Leftrightarrow \left[\cos \frac{\theta}{2}, \sin \frac{\theta}{2} \vec{n} \right]$$

Exercise: Demonstrate this relationship. I.e., show

$$\text{Rot}((\vec{n}, \theta) \bullet \vec{p} = \left[\cos \frac{\theta}{2}, \sin \frac{\theta}{2} \vec{n} \right] \circ [0, \vec{p}] \circ \left[\cos \frac{\theta}{2}, -\sin \frac{\theta}{2} \vec{n} \right]$$

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Rotation matrix from unit quaternion

$$\mathbf{q} = [q_0, q_1, q_2, q_3]; \quad \|\mathbf{q}\| = 1$$

$$\mathbf{R}(\mathbf{q}) = \begin{bmatrix} q_0^2 + q_1^2 - q_2^2 - q_3^2 & 2(q_1q_2 - q_0q_3) & 2(q_1q_3 + q_0q_2) \\ 2(q_1q_2 + q_0q_3) & q_0^2 - q_1^2 + q_2^2 - q_3^2 & 2(q_2q_3 - q_0q_1) \\ 2(q_1q_3 - q_0q_2) & 2(q_2q_3 + q_0q_1) & q_0^2 - q_1^2 - q_2^2 + q_3^2 \end{bmatrix}$$

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Unit quaternion from rotation matrix

$$\mathbf{R}(\mathbf{q}) = \begin{bmatrix} r_{xx} & r_{yx} & r_{zx} \\ r_{xy} & r_{yy} & r_{zy} \\ r_{xz} & r_{yz} & r_{zz} \end{bmatrix}; \quad \begin{aligned} \mathbf{a}_0 &= 1 + r_{xx} + r_{yy} + r_{zz}; & \mathbf{a}_1 &= 1 + r_{xx} - r_{yy} - r_{zz} \\ \mathbf{a}_2 &= 1 - r_{xx} + r_{yy} - r_{zz}; & \mathbf{a}_3 &= 1 - r_{xx} - r_{yy} + r_{zz} \end{aligned}$$

$\mathbf{a}_0 = \max\{\mathbf{a}_k\}$	$\mathbf{a}_1 = \max\{\mathbf{a}_k\}$	$\mathbf{a}_2 = \max\{\mathbf{a}_k\}$	$\mathbf{a}_3 = \max\{\mathbf{a}_k\}$
$q_0 = \frac{\sqrt{\mathbf{a}_0}}{2}$	$q_0 = \frac{r_{yz} - r_{zy}}{4q_1}$	$q_0 = \frac{r_{zx} - r_{xz}}{4q_2}$	$q_0 = \frac{r_{xy} - r_{yx}}{4q_3}$
$q_1 = \frac{r_{xy} - r_{yx}}{4q_0}$	$q_1 = \frac{\sqrt{\mathbf{a}_1}}{2}$	$q_1 = \frac{r_{xy} + r_{yx}}{4q_2}$	$q_1 = \frac{r_{xz} + r_{zx}}{4q_3}$
$q_2 = \frac{r_{zx} - r_{xz}}{4q_0}$	$q_2 = \frac{r_{xy} + r_{yx}}{4q_1}$	$q_2 = \frac{\sqrt{\mathbf{a}_2}}{2}$	$q_2 = \frac{r_{yz} + r_{zy}}{4q_3}$
$q_3 = \frac{r_{yz} - r_{zy}}{4q_0}$	$q_3 = \frac{r_{xz} + r_{zx}}{4q_1}$	$q_3 = \frac{r_{yz} + r_{zy}}{4q_2}$	$q_3 = \frac{\sqrt{\mathbf{a}_3}}{2}$

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Quaternion method for R

Step 1: Compute

$$\mathbf{H} = \sum_i \begin{bmatrix} \bar{a}_{i,x} \bar{b}_{i,x} & \bar{a}_{i,x} \bar{b}_{i,y} & \bar{a}_{i,x} \bar{b}_{i,z} \\ \bar{a}_{i,y} \bar{b}_{i,x} & \bar{a}_{i,y} \bar{b}_{i,y} & \bar{a}_{i,y} \bar{b}_{i,z} \\ \bar{a}_{i,z} \bar{b}_{i,x} & \bar{a}_{i,z} \bar{b}_{i,y} & \bar{a}_{i,z} \bar{b}_{i,z} \end{bmatrix}$$

Step 2: Compute

$$\mathbf{G} = \begin{bmatrix} \text{trace}(\mathbf{H}) & \Delta^T \\ \Delta & \mathbf{H} + \mathbf{H}^T - \text{trace}(\mathbf{H})\mathbf{I} \end{bmatrix}$$

$$\text{where } \Delta^T = [\mathbf{H}_{2,3} - \mathbf{H}_{3,2} \quad \mathbf{H}_{3,1} - \mathbf{H}_{1,3} \quad \mathbf{H}_{1,2} - \mathbf{H}_{2,1}]$$

Step 3: Compute eigen value decomposition of \mathbf{G}

$$\text{diag}(\bar{\lambda}) = \mathbf{Q}^T \mathbf{G} \mathbf{Q}$$

Step 4: The eigenvector $\mathbf{Q}_k = [q_0, q_1, q_2, q_3]$ corresponding to the largest eigenvalue λ_k is a unit quaternion corresponding to the rotation.

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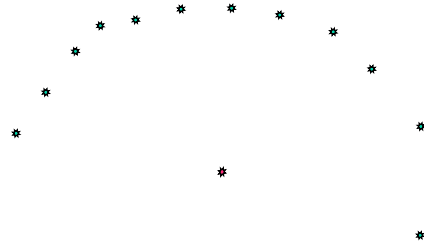
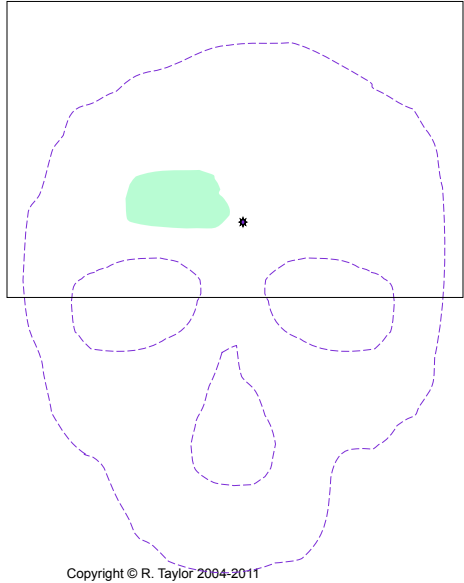
Iterative Closest Point

- Besl and McKay, 1992
- Start with an initial guess, \mathbf{T}_0 , for \mathbf{T} .
- At iteration k
 1. For each sampled point $\mathbf{f}_i \in \mathcal{F}_A$. Find the point $\mathbf{v}_i \in \mathcal{F}_B$ that is closest to $\mathbf{T}_k \cdot \mathbf{f}_i$.
 2. Then compute \mathbf{T}_{k+1} as the transformation that minimizes

$$D_{k+1} := \sum_i \|\mathbf{v}_i - \mathbf{T}_{k+1} \cdot \mathbf{f}_i\|^2$$

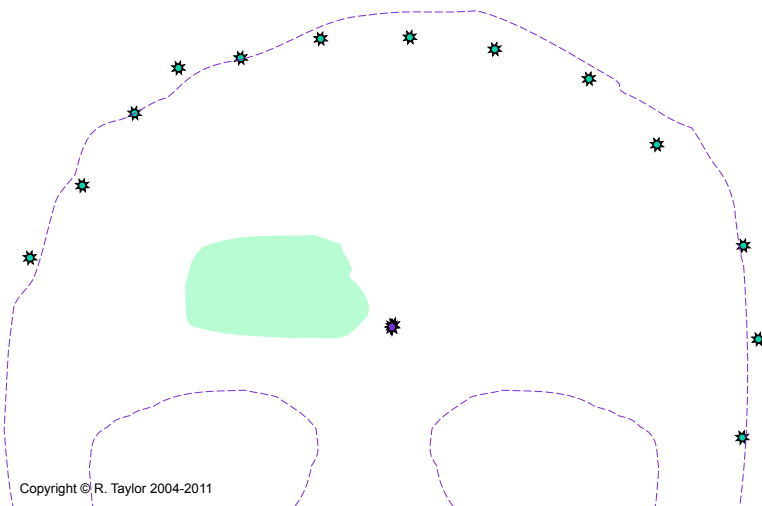
- Physical Analogy

Iterative Closest Point: step 0



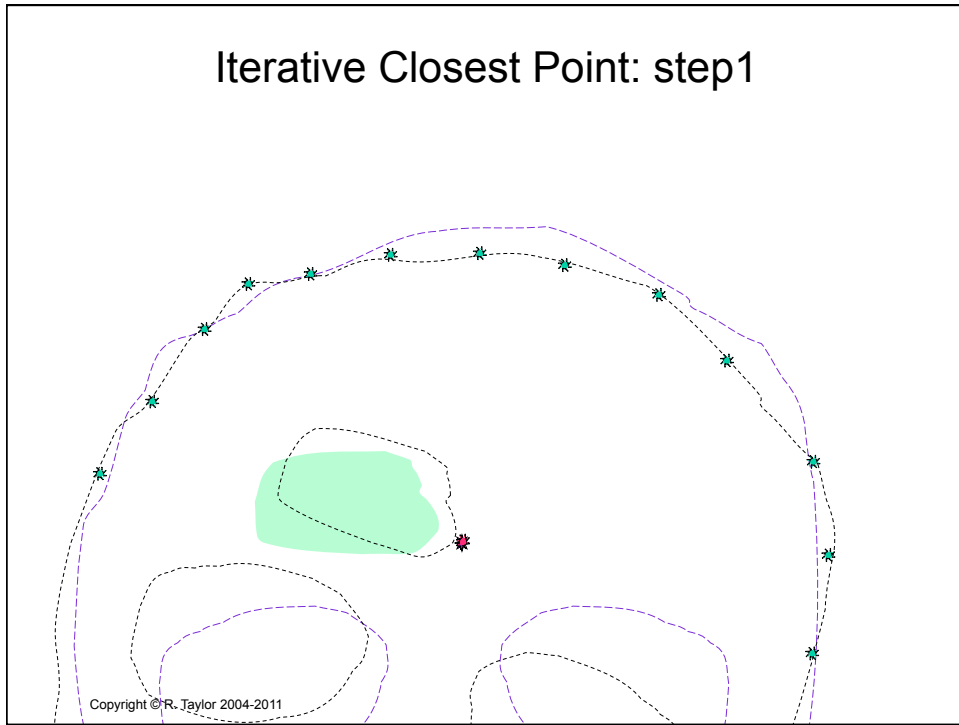
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Iterative Closest Point: step 1

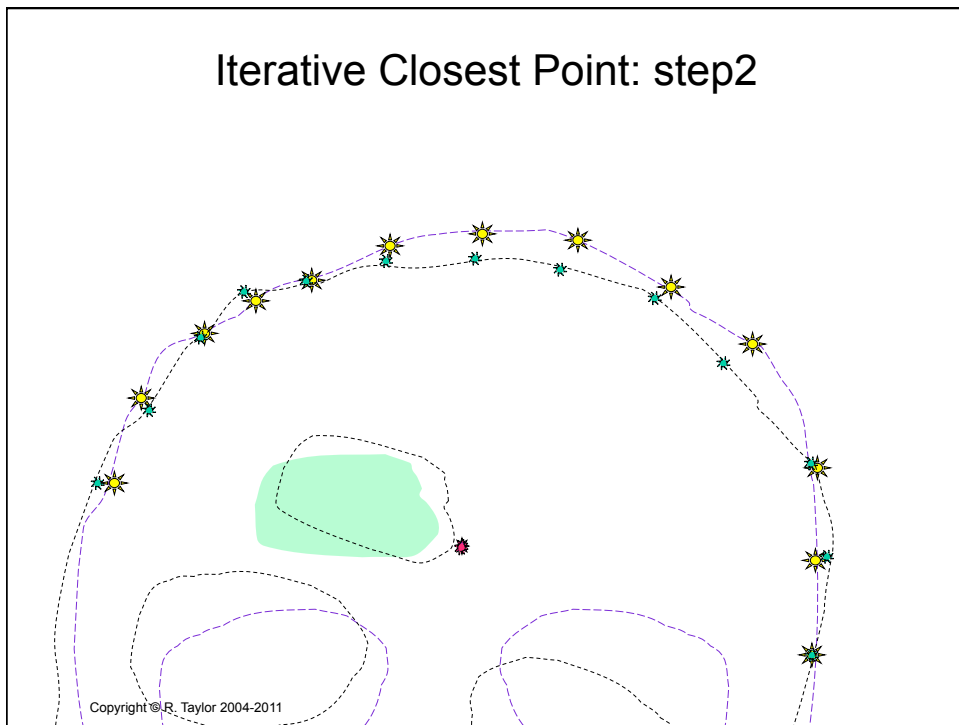


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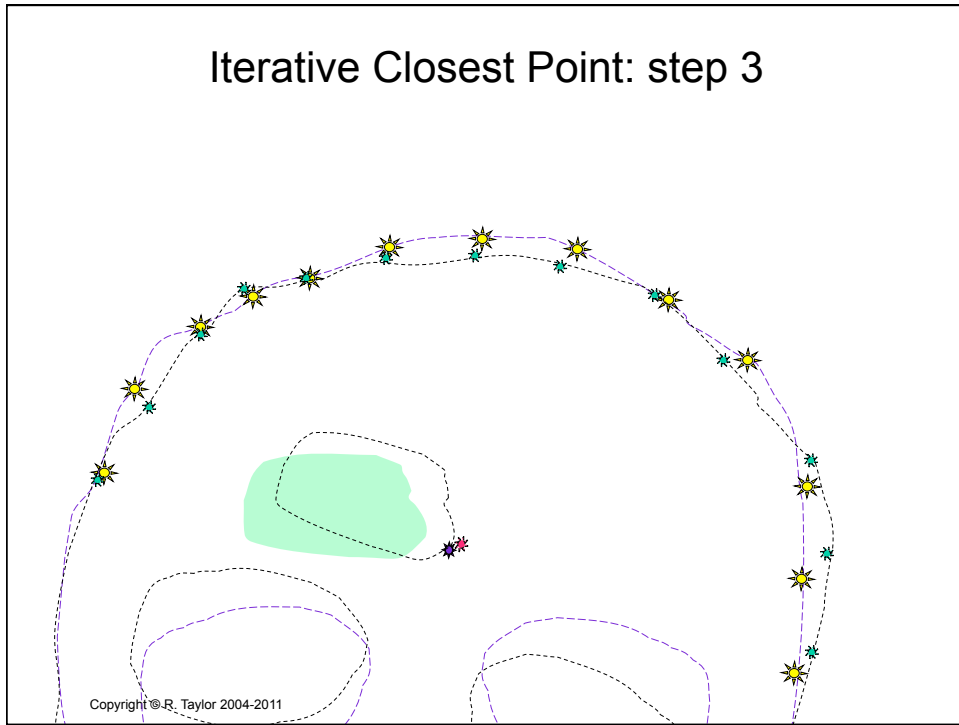
Iterative Closest Point: step1



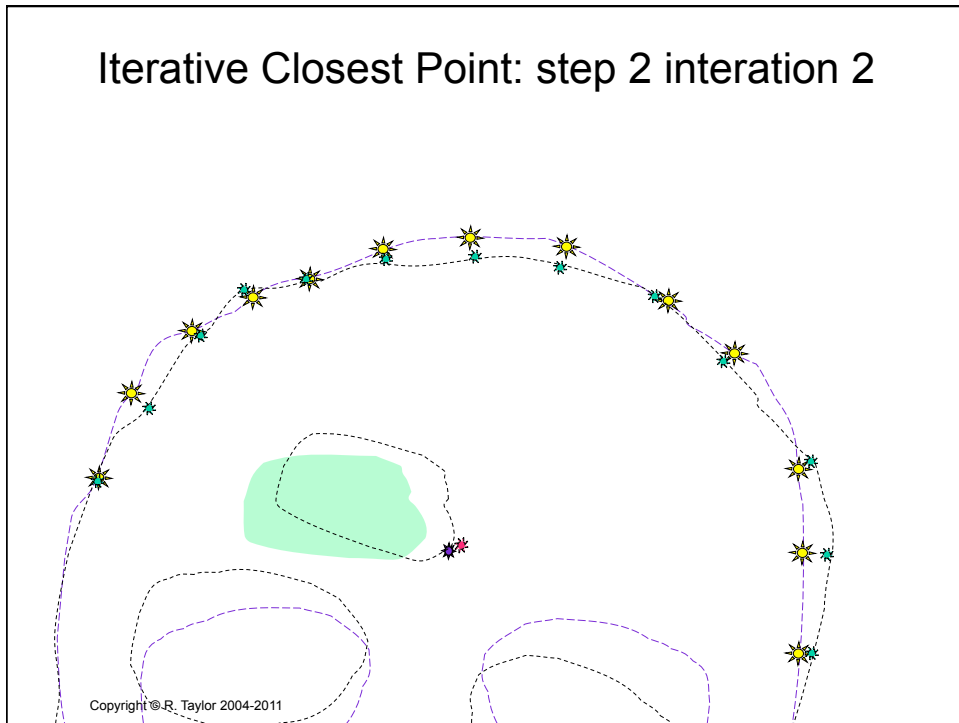
Iterative Closest Point: step2



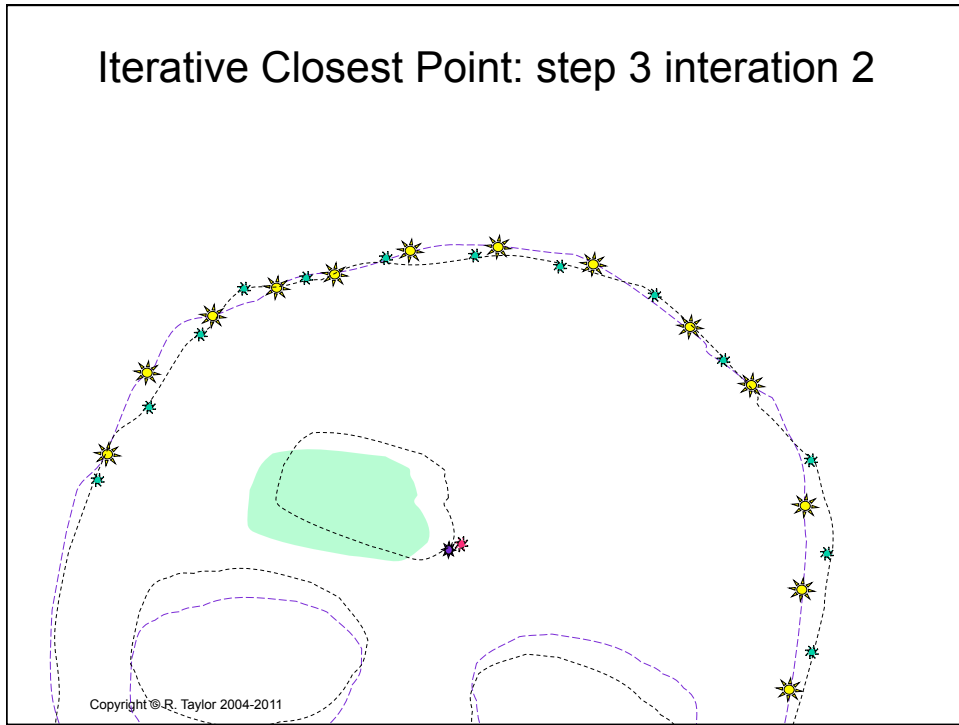
Iterative Closest Point: step 3



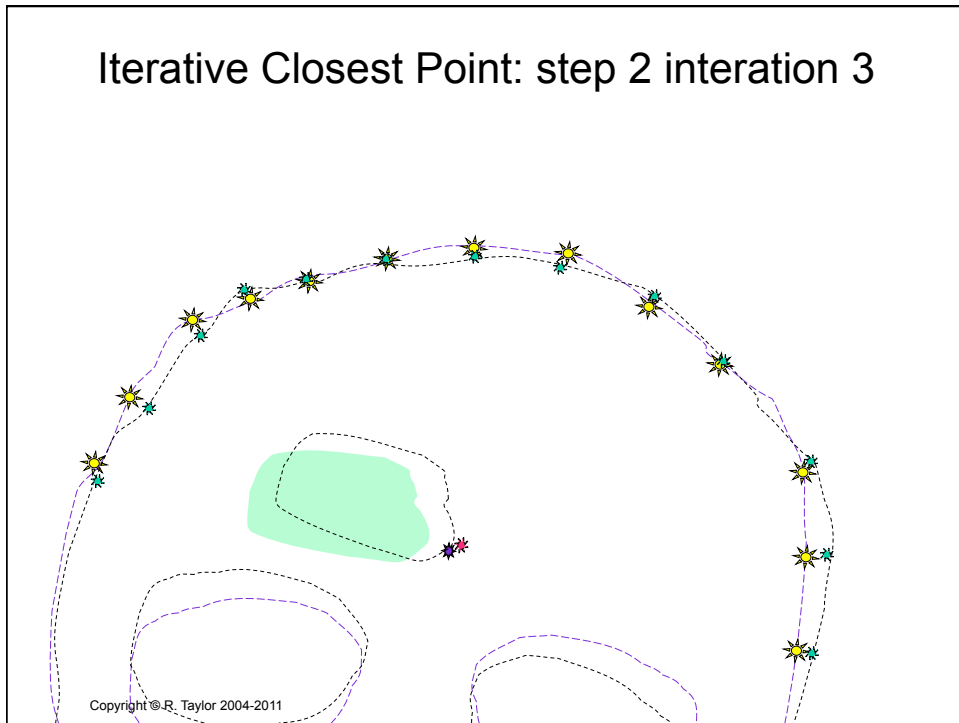
Iterative Closest Point: step 2 iteration 2



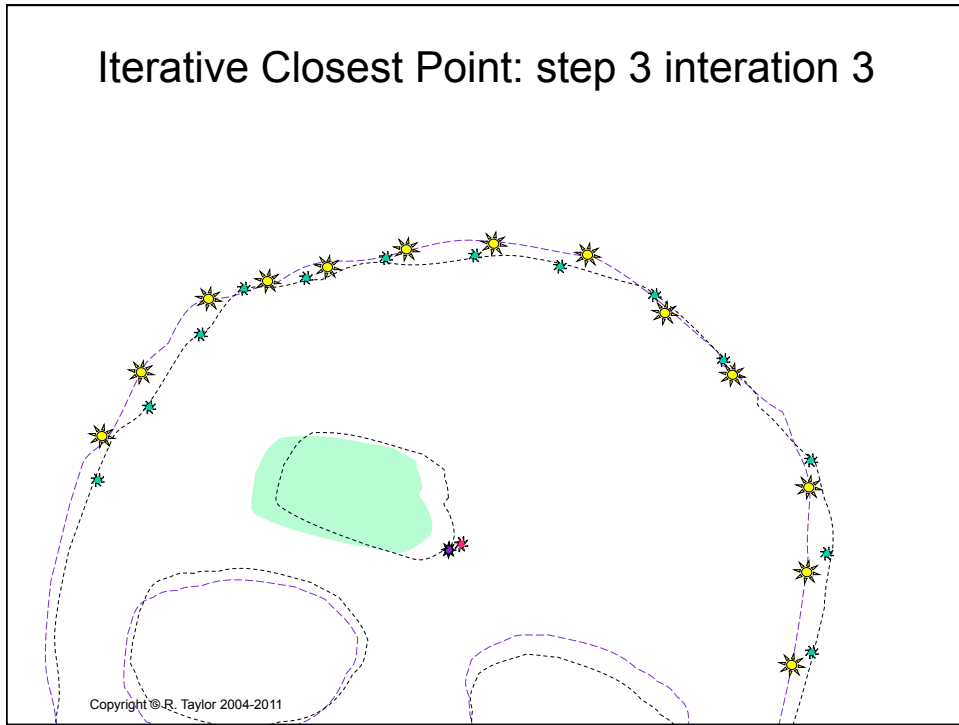
Iterative Closest Point: step 3 iteration 2



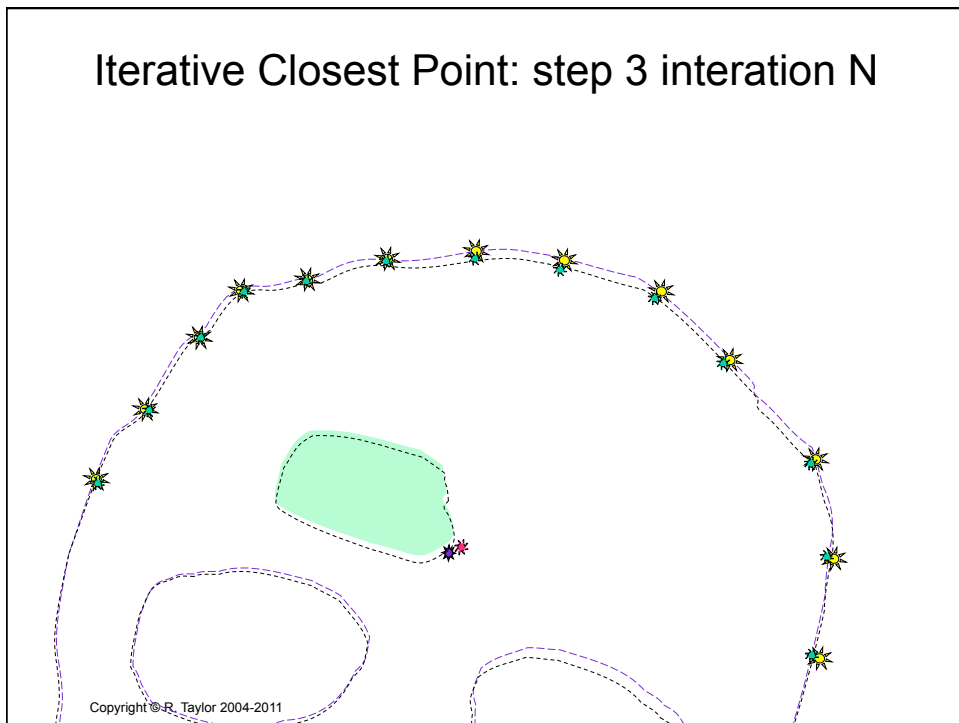
Iterative Closest Point: step 2 iteration 3



Iterative Closest Point: step 3 iteration 3



Iterative Closest Point: step 3 iteration N



Iterative Closest Point: Discussion

- Minimization step can be fast
- Crucially requires fast finding of nearest points
- Local minima still an issue
- Data overlap still an issue

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Outline of a practical ICP code

Given

1. Surface model M consisting of triangles $\{m_i\}$
2. Set of points $Q = \{\bar{\mathbf{q}}_1, \dots, \bar{\mathbf{q}}_N\}$ known to be on M .
3. Initial guess \mathbf{F}_0 for transformation \mathbf{F}_0 such that the points $\mathbf{F}_0 \cdot \bar{\mathbf{q}}_k$ lie on M .
4. Initial threshold η_0 for match closeness

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Outline of a practical ICP code

Temporary variables

n	Iteration number	
$\mathbf{F}_n = [\mathbf{R}, \bar{\mathbf{p}}]$	Current estimate of transformation	
η_n	Current match distance threshold	
$C = \{\dots, \bar{\mathbf{c}}_k, \dots\}$	Closest points on M to Q	
$D = \{\dots, d_k, \dots\}$	Distances $d_k = \ \bar{\mathbf{c}}_k - \mathbf{F}_n \cdot \bar{\mathbf{q}}_k\ $	
$I = \{\dots, i_k, \dots\}$	Indices of triangles m_{i_k} corresp. to $\bar{\mathbf{c}}_k$	
$A = \{\dots, \bar{\mathbf{a}}_k, \dots\}$	Subset of Q with valid matches	
$B = \{\dots, \bar{\mathbf{b}}_k, \dots\}$	Points on M corresponding to A	
$E = \{\dots, \bar{\mathbf{e}}_k, \dots\}$	Residual errors $\bar{\mathbf{b}}_k - \mathbf{F} \cdot \bar{\mathbf{a}}_k$	
$\sigma_n, (\epsilon_{\max})_n, \bar{\epsilon}_n$	$\frac{\sum_k \bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}{NumElts(E)}; \max_k \sqrt{\bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}; \frac{\sum_k \sqrt{\bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}}{NumElts(E)}$	

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Outline of a practical ICP code

Step 0 : (initialization)

Input surface model M and points Q.

Build an appropriate data structure (e.g., octree, kD tree) T to facilitate finding the closest point matching search.

$n \leftarrow 0$
 $I \leftarrow \{\dots, 1, \dots\}$
 $C \leftarrow \{\dots, \text{point on } m_1, \dots\}$
 $D \leftarrow \{\dots, \|\bar{\mathbf{c}}_k - \mathbf{F}_0 \cdot \bar{\mathbf{q}}_k\|, \dots\}$

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Outline of a practical ICP code

Step 1: (matching)

$A \leftarrow \emptyset; B \leftarrow \emptyset$

For $k \leftarrow 1$ step 1 to N do

begin

$[\bar{\mathbf{c}}_k, i_k, d_k] \leftarrow \text{FindClosestPoint}(\mathbf{F}_n \cdot \bar{\mathbf{q}}_k, i_k, d_k, \mathbb{T});$

// Note: develop first with simple

// search. Later make more

// sophisticated, using \mathbb{T}

if $(d_k < \eta_n)$ then { put $\bar{\mathbf{q}}_k$ into A; put $\bar{\mathbf{c}}_k$ into B; };

// See also subsequent notes

end

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Outline of a practical ICP code

Step 2: (transformation update)

$n \leftarrow n + 1$

$\mathbf{F}_n \leftarrow \text{FindBestRigidTransformation}(A, B)$

$$\sigma_n \leftarrow \frac{\sqrt{\sum_k \bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}}{\text{NumElts}(\mathbb{E})}; \quad (\varepsilon_{\max})_n \leftarrow \max_k \sqrt{\bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}; \quad \bar{\varepsilon}_n \leftarrow \frac{\sum_k \sqrt{\bar{\mathbf{e}}_k \cdot \bar{\mathbf{e}}_k}}{\text{NumElts}(\mathbb{E})}$$

Step 3: (adjustment)

Compute η_n from $\{\eta_0, \dots, \eta_{n-1}\}$ // see notes next page

// May also update \mathbf{F}_n from $\{\mathbf{F}_0, \dots, \mathbf{F}_n\}$ (see Besl & McKay)

Step 4: (iteration)

if $\text{TerminationTest}(\{\sigma_0, \dots, \sigma_n\}, \{(\varepsilon_{\max})_0, \dots, (\varepsilon_{\max})_n\}, \{\bar{\varepsilon}_0, \dots, \bar{\varepsilon}_n\})$

then stop. Otherwise, go back to step 1 // see notes

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Outline of practical ICP code

Threshold η_n update

The threshold η_n can be used to restrict the influence of clearly wrong matches on the computation of F_n .

Generally, it should start at a fairly large value and then decrease after a few iterations. One not unreasonable value might be something like $3(\bar{\epsilon})_n$. If the number of valid matches begins to fall significantly, one can increase it adaptively. Too tight a bound may encourage false minima

Also, if the mesh is incomplete, it may be advantageous to exclude any matches with triangles at the edge of the mesh.

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Outline of practical ICP code

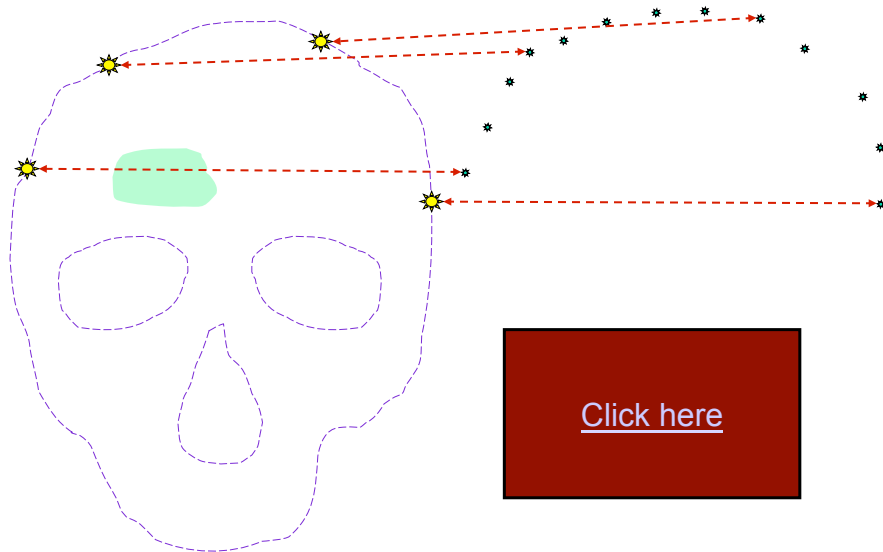
Termination test

There are no hard and fast rules for deciding when to terminate the procedure. One criterion might be to stop when σ_n , $\bar{\epsilon}_n$ and/or $(\epsilon_{\max})_n$ are less than desired

thresholds and $\gamma \leq \frac{\bar{\epsilon}_n}{\bar{\epsilon}_{n-1}} \leq 1$ for some value γ (e.g., $\gamma \cong .95$) for several iterations.

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Digression: Finding Point Pairs



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Distance Maps

- Many authors
- Somewhat related to ICP
- Basic idea is to precompute the distance to the surface for a dense sampling of the volume.
- Then use the gradient of the distance map to compute an incremental motion that reduces the sum of the distances of all the moving points to the surface.
- Then iterate

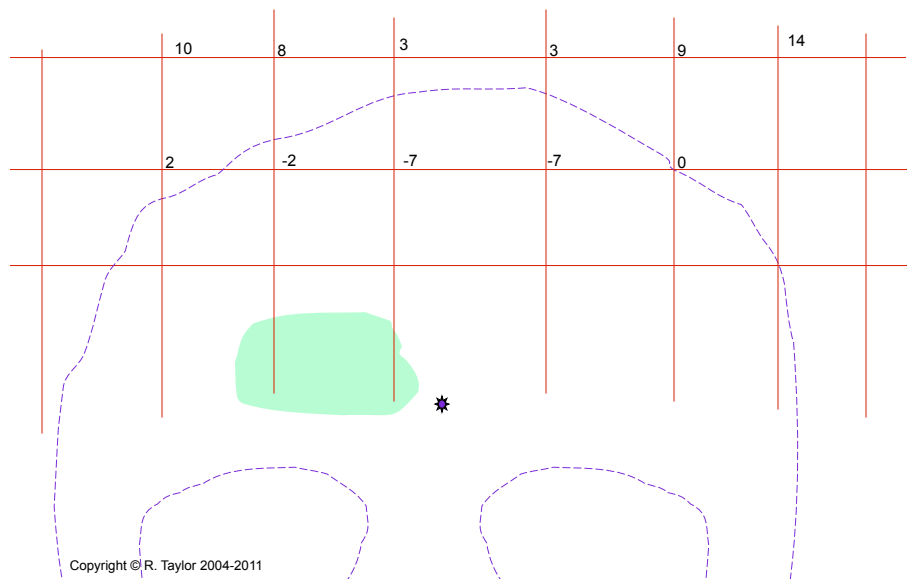
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Distance Maps (Continued)

- Approach is to precompute $d_S(\mathcal{F}, \mathbf{v}_j)$ for a lattice of points \mathbf{v}_j .
- Then, to compute $d_S(\mathcal{F}, \mathbf{f}_i)$:
 1. Determine the set \mathcal{V} of lattice points surrounding \mathbf{f}_i .
 2. Look up the distances $\{d_j = d_S(\mathcal{F}, \mathbf{v}_j)\}$ for $\mathbf{v}_j \in \mathcal{V}$.
 3. Estimate d_S from the d_j , e.g., by trilinear interpolation
- Various techniques to do the optimization

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Distance Maps: step 0



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Distance Maps: Iteration Step

1. Determine cell \mathcal{V}_i for each $\mathbf{p}_i = \mathbf{T} \cdot \mathbf{f}_i$. Let $\bar{\lambda}_i$ be the corresponding interpolation parameters for \mathbf{p}_i within cell.

2. Determine small motion $\Delta \mathbf{T}$ that minimizes

$$\sum_i [(\Delta \mathbf{T} \mathbf{p}_i - \mathbf{p}_i) \cdot \nabla d_S(\bar{\lambda}_i, \mathcal{V}_i)]$$

or

$$\sum_i [(\Delta \mathbf{T} \mathbf{p}_i - \mathbf{p}_i) \cdot \nabla d_S(\bar{\lambda}_i, \mathcal{V}_i)]$$

3. Update: $\mathbf{T} \leftarrow \Delta \mathbf{T} \bullet \mathbf{T}$

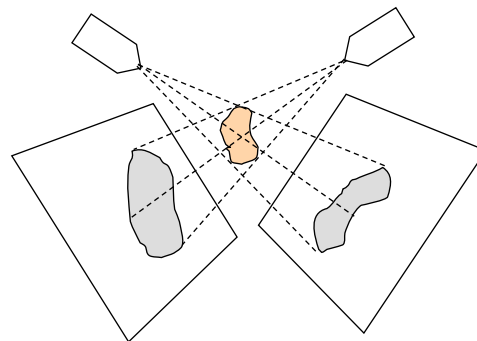
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A contour-based 2D-3D method ...

Guezic *et al.*, 1998

Given

- 3D surface model of an anatomic structure
- Multiple 2D x-ray projection images taken at known poses relative to some coordinate system C
- Initial estimate of the pose \mathbf{F} of the anatomic object relative to the x-ray imaging coordinate system C



Goal

- Compute an accurate value for \mathbf{F}

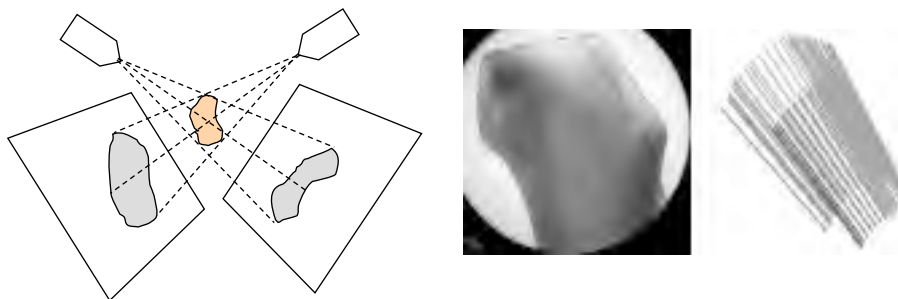
A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A countour-based 2D-3D method ...

Guezic *et al.*, 1998

Step 0: Extract contours from x-ray images and compute corresponding lines between source and detector



A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A countour-based 2D-3D method ...

Guezic *et al.*, 1998

Step 1: Given the current estimate for $\mathbf{F} = [\mathbf{R}, \mathbf{t}]$, compute the apparent projection contours of the model for each viewing direction.

Step 2: For each x-ray path line \mathbf{L}_i , identify the closest point \mathbf{p}_i on an apparent projection contour. This will give a set of points on the body surface to be moved toward the corresponding x-ray lines

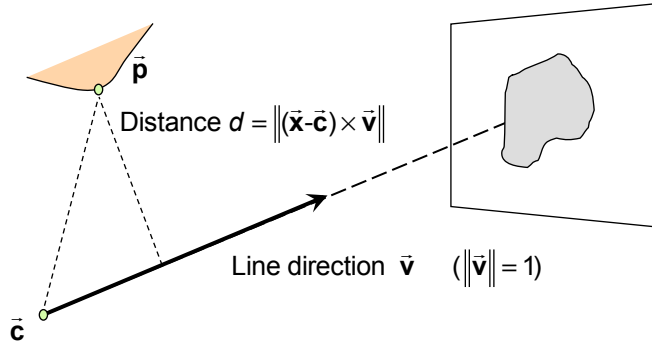


A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A countour-based 2D-3D method ...

Guezic *et al.*, 1998



Note: It is convenient to use the x-ray source position (i.e., the center of convergence for a bundle of x-ray projection lines) as the value for $\bar{\mathbf{c}}$.

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A countour-based 2D-3D method ...

Guezic *et al.*, 1998

Step 3: Solve an optimization problem to compute a value of \mathbf{F} that minimizes the distance between the \mathbf{p}_i and the \mathbf{L}_i .



$$\begin{aligned} \min_{\mathbf{R}, \mathbf{t}} \sum_i d_i^2 &= \min_{\mathbf{R}, \mathbf{t}} \sum_i \|\bar{\mathbf{v}}_i \times (\mathbf{c}_i - (\mathbf{R}\bar{\mathbf{p}}_i + \bar{\mathbf{t}}))\|^2 \\ &= \min_{\mathbf{R}, \mathbf{t}} \sum_i \|\text{skew}(\bar{\mathbf{v}}_i) \cdot (\mathbf{c}_i - (\mathbf{R}\bar{\mathbf{p}}_i + \bar{\mathbf{t}}))\|^2 \end{aligned}$$

Step 4: Iterate steps 1-3 until reach convergence

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Computational Note

Gueziec uses the Cayley parameterization for rotations:

$$\mathbf{R}(\bar{\mathbf{u}}) = (\mathbf{I} - \text{skew}(\bar{\mathbf{u}}))(\mathbf{I} + \text{skew}(\bar{\mathbf{u}}))^{-1}$$

This leads to the approximation

$$\mathbf{R}(\bar{\mathbf{u}}) \approx \mathbf{I} + \text{skew}(2\bar{\mathbf{u}})$$

which is similar to our familiar $\mathbf{R}(\bar{\alpha}) \approx \mathbf{I} + \text{skew}(\bar{\alpha})$.

He also uses the notation $\mathbf{U} = \text{skew}(\bar{\mathbf{u}})$. So $\mathbf{R}(\bar{\mathbf{u}}) = (\mathbf{I} - \mathbf{U})(\mathbf{I} + \mathbf{U})^{-1}$

Similarly, we will see $\mathbf{V} = \text{skew}(\bar{\mathbf{v}})$, etc.

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A countour-based 2D-3D method ...

Gueziec et al., 1998

Gueziec compared three different methods for performing the minimization in Step 3:

- Levenberg Marquardt (LM) nonlinear minimization.
- Linearization and constrained minimization
- Use of a Robust M-Estimator

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Levenberg-Marquardt ...

(Following development in Gueziec et al., 1998)

Define $f_i(\vec{x}) = \|\mathbf{V}_i(\vec{\mathbf{c}}_i - \mathbf{R}(\vec{\mathbf{u}})\vec{\mathbf{p}}_i - \vec{\mathbf{t}})\|$ where $\vec{x}^t = [\vec{\mathbf{u}}^t, \vec{\mathbf{t}}^t]$, $\mathbf{V}_i = \text{skew}(\vec{\mathbf{v}}_i)$

Our goal is to minimize

$$\varepsilon(\vec{x}) = \sum_i f_i(\vec{x})^2 = \sum_i \|\mathbf{V}_i(\vec{\mathbf{c}}_i - \mathbf{R}(\vec{\mathbf{u}})\vec{\mathbf{p}}_i - \vec{\mathbf{t}})\|^2$$

We note that $\varepsilon(\vec{x})$ is nonlinear. Levenberg-Marquardt is a widely used optimization method for problems of this type. However, it requires us to evaluate the partial derivatives $\partial f_i / \partial x_j$. Gueziec worked these out symbolically for his problem

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Levenberg-Marquardt ...

(Following development in Gueziec et al., 1998)

Define $f_i(\vec{x}) = \|\mathbf{V}_i(\vec{\mathbf{c}}_i - \mathbf{R}(\vec{\mathbf{u}})\vec{\mathbf{p}}_i - \vec{\mathbf{t}})\|$ where $\vec{x}^t = [\vec{\mathbf{u}}^t, \vec{\mathbf{t}}^t]$, $\mathbf{V}_i = \text{skew}(\vec{\mathbf{v}}_i)$

$$\mathbf{J} = \begin{bmatrix} \dots & \frac{\partial f_i}{\partial \vec{x}} & \dots \end{bmatrix} = \begin{bmatrix} \dots & \frac{\partial f_i}{\partial \vec{\mathbf{u}}} & \dots \\ \dots & \frac{\partial f_i}{\partial \vec{\mathbf{t}}} & \dots \end{bmatrix}$$

$$\frac{\partial f_i}{\partial \vec{\mathbf{t}}} = \frac{\mathbf{V}_i^t \mathbf{V}_i (\mathbf{R}\vec{\mathbf{p}}_i - \mathbf{c} + \vec{\mathbf{t}})}{f_i}$$

$$\frac{\partial f_i}{\partial \vec{\mathbf{u}}} = \left(\frac{\partial \mathbf{R}\vec{\mathbf{p}}_i}{\partial \vec{\mathbf{u}}} \right)^t \frac{\mathbf{V}_i^t \mathbf{V}_i (\mathbf{R}\vec{\mathbf{p}}_i - \mathbf{c} + \vec{\mathbf{t}})}{f_i}$$



Details on this may be found in reference [45] of Gueziec's paper

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Levenberg-Marquardt ...

(Following development in Gueziec et al., 1998)

Step 1: Pick $\lambda =$ a small number; pick initial guess for \bar{x}

Step 2: Evaluate $f_i(\bar{x})$ and \mathbf{J} and solve the least squares problem

$$\begin{bmatrix} \vdots \\ (\mathbf{J}^t \mathbf{J} + \lambda \mathbf{I}) \Delta \bar{x} - \mathbf{J}^t f_i \\ \vdots \end{bmatrix} = \begin{bmatrix} \vdots \\ 0 \\ \vdots \end{bmatrix}$$

for $\Delta \bar{x}$.

Step 3: $\bar{x} \leftarrow \bar{x} + \Delta \bar{x}$; update λ .

Step 4: Evaluate termination condition. If not done, go back to step 2

Note: Usually λ starts small and grows larger. Consult standard references (e.g., Numerical Recipes) for more information.

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Constrained Linearized Least Squares ...

(Following development in Gueziec et al., 1998)

Step 0: Make an initial guess for \mathbf{R} and $\bar{\mathbf{t}}$

Step 1: Compute $\bar{\mathbf{p}}_i \leftarrow \mathbf{R} \bar{\mathbf{p}}_i + \bar{\mathbf{t}}$

Step 2: Define $\mathbf{P}_i = \text{skew}(\bar{\mathbf{p}}_i)$, $\mathbf{V}_i = \text{skew}(\bar{\mathbf{v}}_i)$

Step 3: Solve the least squares problem:

$$\varepsilon = \min \left\| \begin{bmatrix} \vdots & \vdots \\ 2\mathbf{V}_i \mathbf{P}_i & \mathbf{V}_i \\ \vdots & \vdots \end{bmatrix} \begin{bmatrix} \bar{\mathbf{u}} \\ \Delta \bar{\mathbf{t}} \end{bmatrix} - \begin{bmatrix} \vdots \\ \mathbf{V}_i (\bar{\mathbf{c}}_i - \bar{\mathbf{p}}_i) \\ \vdots \end{bmatrix} \right\| \text{ subject to } \|\bar{\mathbf{u}}\| \leq \rho$$

where ρ is sufficiently small so that $\mathbf{I} + 2\mathbf{U}$ approximates a rotation

Step 4: Compute $\Delta \mathbf{R} = (\mathbf{I} - \mathbf{U})(\mathbf{I} + \mathbf{U})^{-1}$

Update $\mathbf{p}_i \leftarrow \Delta \mathbf{R} \mathbf{p}_i + \Delta \bar{\mathbf{t}}$; $\mathbf{R} \leftarrow \Delta \mathbf{R} \mathbf{R}$; $\bar{\mathbf{t}} \leftarrow \Delta \mathbf{R} \bar{\mathbf{t}} + \Delta \bar{\mathbf{t}}$

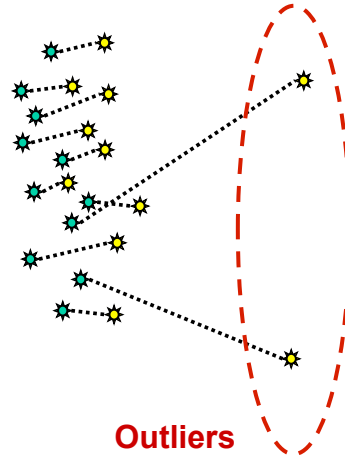
Step 5: If ε is small enough or some other termination condition is met, then stop. Otherwise go back to Step 2.

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Robust Pose Estimation ...

- Basic idea is to identify outliers and give them little or no weight.

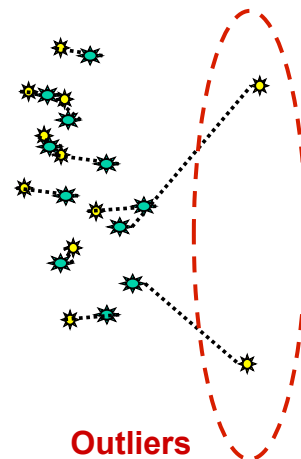


R. Kumar and A. R. Hanson, "Robust methods for estimating pose and a sensitivity analysis," *Comput. Vision, Graphics, Image Processing-IU*, vol. 60, no. 3, pp. 313–342, 1994.

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Robust Pose Estimation ...

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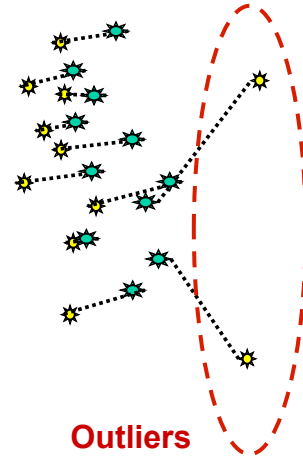


R. Kumar and A. R. Hanson, "Robust methods for estimating pose and a sensitivity analysis," *Comput. Vision, Graphics, Image Processing-IU*, vol. 60, no. 3, pp. 313–342, 1994.

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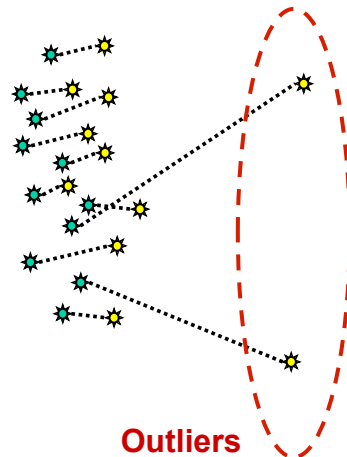


R. Kumar and A. R. Hanson, "Robust methods for estimating pose and a sensitivity analysis," *Comput. Vision, Graphics, Image Processing-IU*, vol. 60, no. 3, pp. 313–342, 1994.

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Robust Pose Estimation ...

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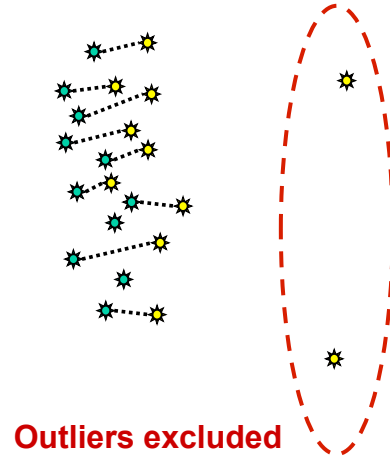


R. Kumar and A. R. Hanson, "Robust methods for estimating pose and a sensitivity analysis," *Comput. Vision, Graphics, Image Processing-IU*, vol. 60, no. 3, pp. 313–342, 1994.

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Robust Pose Estimation ...

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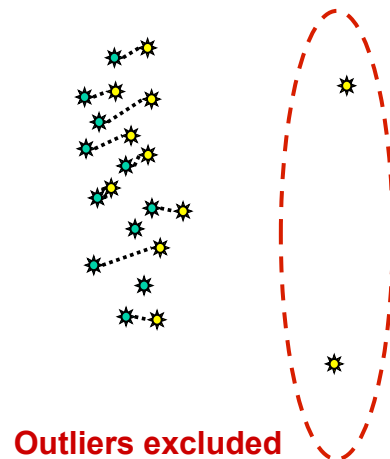


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Robust Pose Estimation ...

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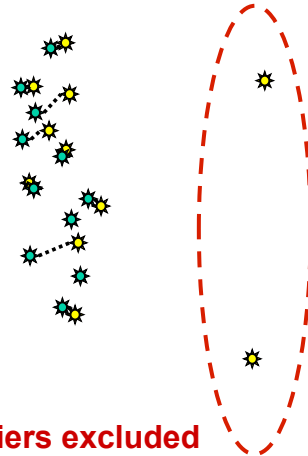


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Robust M-Estimator ...

(Following development in Guezic et al., 1998)

Step 0: Make an initial guess for \mathbf{R} and $\bar{\mathbf{t}}$

Step 1: Compute $\bar{\mathbf{p}}_i \leftarrow \mathbf{R}\mathbf{p}_i + \bar{\mathbf{t}}$

Step 2: Define $\mathbf{P}_i = \text{skew}(\bar{\mathbf{p}}_i)$, $\mathbf{V}_i = \text{skew}(\bar{\mathbf{v}}_i)$,

Step 3: Solve a robust linearized problem

$$\varepsilon = \min_{\bar{\mathbf{u}}, \Delta \bar{\mathbf{t}}} \sum_i \rho \left(\frac{0.6745 e_i}{\text{median}(\{e_i\})} \right) \quad \text{where } e_i = \|\mathbf{V}_i(\bar{\mathbf{p}}_i - \mathbf{c}_i + 2\mathbf{P}_i\bar{\mathbf{u}} + \Delta \bar{\mathbf{t}})\|$$

(See next slide)

Step 4: Compute $\Delta \mathbf{R} = (\mathbf{I} - \mathbf{U})(\mathbf{I} + \mathbf{U})^{-1}$

Update $\mathbf{p}_i \leftarrow \Delta \mathbf{R}\mathbf{p}_i + \Delta \bar{\mathbf{t}}$; $\mathbf{R} \leftarrow \Delta \mathbf{R}\mathbf{R}$; $\bar{\mathbf{t}} \leftarrow \Delta \mathbf{R}\bar{\mathbf{t}} + \Delta \bar{\mathbf{t}}$

Step 5: If ε is small enough or some other termination condition is met, then stop. Otherwise go back to Step 2.

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," *IEEE Transactions on Medical Imaging*, vol. 17, pp. 715-728, 1998.

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Robust M-Estimator ...

(Following development in Gueziec et al., 1998)

Step 3.0: Set $\bar{\mathbf{u}} = \bar{\mathbf{0}}, \Delta \bar{\mathbf{t}} = \bar{\mathbf{0}}$

Step 3.1: Compute $e_i = \|\mathbf{V}_i(\bar{\mathbf{p}}_i - \bar{\mathbf{c}}_i + 2P_i\bar{\mathbf{u}} + \Delta\bar{\mathbf{t}})\|$, $s = \text{median}(\{\dots, e_i, \dots\}) / 0.6745$,

Step 3.2: Solve $\mathbf{C}\bar{\mathbf{x}} = \bar{\mathbf{d}}$, where $\bar{\mathbf{x}}^t = [\bar{\mathbf{u}}^t, \bar{\mathbf{t}}^t]$

$$\mathbf{C} = \sum_i \Psi\left(\frac{e_i}{s}\right) \begin{bmatrix} 2P_i\mathbf{W}_iP_i & P_i\mathbf{W}_i \\ 2P_i\mathbf{W}_i & \mathbf{W}_i \end{bmatrix} \text{ and } \bar{\mathbf{d}} = \sum_i \Psi\left(\frac{e_i}{s}\right) \begin{bmatrix} P_i\mathbf{W}_i(\bar{\mathbf{c}}_i - \bar{\mathbf{p}}_i) \\ \mathbf{W}_i(\bar{\mathbf{c}}_i - \bar{\mathbf{p}}_i) \end{bmatrix}$$

$$\text{where } \mathbf{W}_i = \mathbf{V}_i^t \mathbf{V}_i = \mathbf{I} - \bar{\mathbf{v}}_i \bar{\mathbf{v}}_i^t \quad \Psi(\mu) = \begin{cases} \mu(1 - \mu^2 / \alpha^2)^2 & \text{if } \|\mu\| \leq \alpha \\ 0 & \text{otherwise} \end{cases}$$

(Note: We use $\alpha=2$)

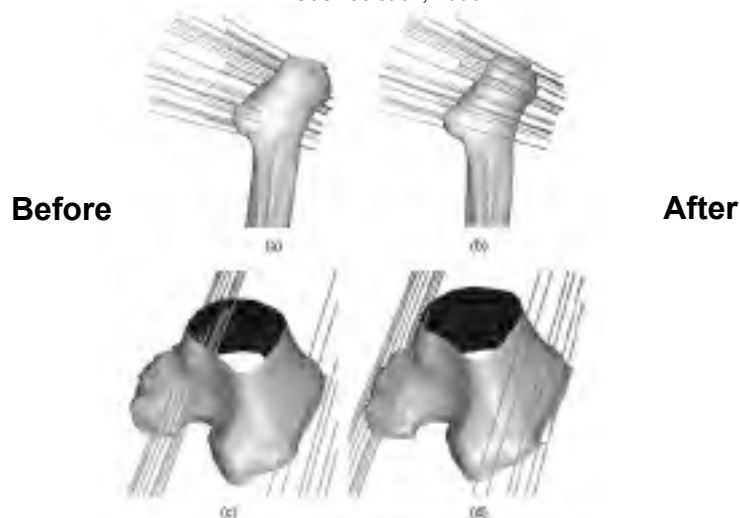
Step 3.3: Iterate steps 3.1 and 3.2 until a suitable termination condition is reached.

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A contour-based 2D-3D method ... results

Gueziec et al., 1998

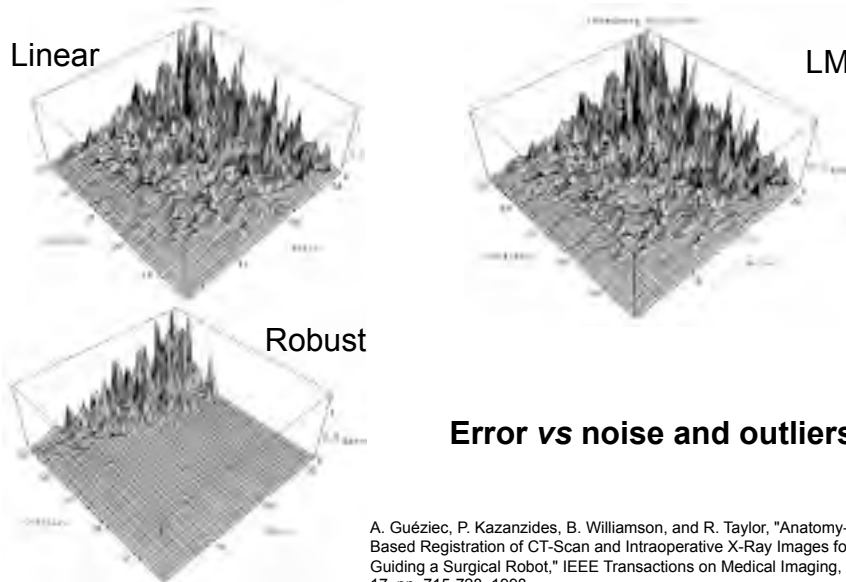


A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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A contour-based 2D-3D method ... results

Guezic *et al.*, 1998



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A contour-based 2D-3D method ... times

Guezic *et al.*, 1998

TABLE I
 AVERAGE EXECUTION TIMES IN MS FOR THE THREE
 REGISTRATION METHODS APPLIED TO DATA SETS THAT
 COMPRISE 100 POINTS (TOP) AND 20 POINTS (BOTTOM)

Number Points/Method	LM	Linear	Robust
100 points (CPU time)	790	690	28
20 points (CPU time)	200	42	9.6

A. Guéziec, P. Kazanzides, B. Williamson, and R. Taylor, "Anatomy-Based Registration of CT-Scan and Intraoperative X-Ray Images for Guiding a Surgical Robot," IEEE Transactions on Medical Imaging, vol. 17, pp. 715-728, 1998.

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Sample Set Analysis

- **Question:** How good is a particular set of 3D sample points for the purpose of registration to a 3D surface?
- Long line of authors have looked at this question
- Next few slides are based on the work of David Simon, et al (1995)

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Sample Set Analysis: Distance Estimates

Let

$$F(\mathbf{x}) = 0$$

be the implicit equation of a surface; then one good estimate of the distance of a point \mathbf{x} to the surface is

$$D(\mathbf{x}) = \frac{F(\mathbf{x})}{\|\nabla F(\mathbf{x})\|}$$

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Sample set analysis: sensitivity

Let x_0 be a point on the surface and $f(\eta)$ represent a grid perturbation with parameters η with respect to the surface of point x_0 .

$$x_i^j = F^j(\eta)x_0$$

Then we define $V(x_0)$ to be

$$V(x_0) = \frac{\partial D^2 f(\eta)(x_0)}{\partial \eta} \Big|_{x_0 = x_0, \eta_0}$$

where η_0 is the grid normal to the surface at x_0 . We

$$D^2 f(\eta)(x_0) \approx V^T(x_0)\eta$$

by using this approx

$$\begin{aligned} D^2 f(\eta)(x_0) &\approx \eta_0^T V(x_0) V^T(x_0) \eta \\ &= \eta_0^T M(x_0) \eta \end{aligned}$$

Note that M is a $n \times n$ positive semi-definite, symmetric matrix.

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Sample set analysis: sensitivity

Our original M can be

$$\begin{aligned} M(x_0) &= \eta_0^T \left[\sum_{k=1}^n M(x_k) \right] \eta_0 \\ &= \eta_0^T \mathcal{P}_n \eta_0 \\ &= \eta_0^T Q A Q^T \eta_0 \\ &= \sum_{i=1}^n \lambda_i (\eta_0^T \cdot q_i)^2 \end{aligned}$$

- Note that the eigenvalues λ_i correspond to small differences in the shape along q_i , and can sort eigenvalues so that

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$$

- Note that eigenvalue λ_1 corresponds to direction of greatest normal bend.
- Similarly, can also think of q_n as the least normal bend direction.

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Sample Set Analysis: Goodness Measures

- Magnitude of smallest eigenvalue (Simon)
- (Kim and Khosla)

$$\frac{\sqrt[n]{\lambda_1 \cdots \lambda_n}}{\lambda_1 - \dots - \lambda_n}$$

- Nalvi

$$\frac{\lambda_n}{\lambda_1}$$

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Sample Set Selection

- One blind search method (similar to Simon, 1995) is:
 - Randomly select sample points on surface
 - (prune for reachability)
 - evaluate goodness of sample set using some criterion
 - repeat many times and choose the best one found

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Sample Set Selection

- Refinement of blind search (hill climbing):
 - Randomly select sample points on surface
 - (prune for reachability)
 - evaluate goodness of sample set using some criterion
 - replace a point from sample set with a randomly selected point
 - evaluate goodness
 - if better, keep it
 - else revert to original point and try again
- Variations include simulated annealing, “genetic” algorithms

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Sample Set Selection: Another Alternative

- Select large number of random points x_i
- Prune for reachability
- For each point, compute constant direction $\nabla_x V(x_i)$. To a first approximation, a local minimum at x_i with accuracy ϵ , constant q by

$$|\nabla_x V(x_i)| \leq \epsilon$$

- New subset subset of the x_i that minimizes, e.g.,

$$\min_{x_i} \frac{1}{N} \sum_{i=1}^N V(x_i)$$

subject to

$$x_i \in \mathcal{R}(x)$$

$$d_x V(x_i) \leq \epsilon$$

$$\frac{1}{N} \sum_{i=1}^N V(x_i) \leq \text{minimize}$$

There are various ways to do this

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Sample Set Selection: Another Alternative (con'd)

- One can also minimize either for us, e.g.,

$$\min_{\mathbf{X}} \sum_{i=1}^n |\mathbf{r}_i|$$

subject to equality constraints

- An alternative is to minimize the number of sample points required to ensure that some subsample (S) is guaranteed to be non-trivial, e.g.,

$$\min_{\mathbf{X}} \sum_{i=1}^n \delta_i$$

subject to

$$\delta_i \in \{0, 1\}$$

$$\mathbf{E} \leq \zeta \mathbf{I}_{m \times m}$$

where

$$\zeta = \eta_1 \times \eta_2^2 / \delta_0$$

to ensure other equal objectives

$$|\mathbf{b}_k \mathbf{V}_k \mathbf{r}_k| \leq \epsilon_k$$

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Related concept: Estimation with Uncertainty

Suppose you know something about the uncertainty of the sample data at each point pair (e.g., from sensor noise and/or model error). I.e.,

$$\bar{\mathbf{a}}_k \in \mathbf{A}_k; \bar{\mathbf{b}}_k \in \mathbf{B}_k; \text{cov}(\mathbf{A}_k, \mathbf{B}_k) = \mathbf{C}_k = \mathbf{Q}_k \mathbf{\Lambda}_k \mathbf{Q}_k^T$$

Then an appropriate distance metric is the Mahalabonis distance

$$D(\bar{\mathbf{a}}_k, \bar{\mathbf{b}}_k) = (\bar{\mathbf{a}}_k - \bar{\mathbf{b}}_k)^T \mathbf{C}_k^{-1} (\bar{\mathbf{a}}_k - \bar{\mathbf{b}}_k) = \bar{\mathbf{d}}_k^T \mathbf{\Lambda}_k^{-1} \bar{\mathbf{d}}_k$$

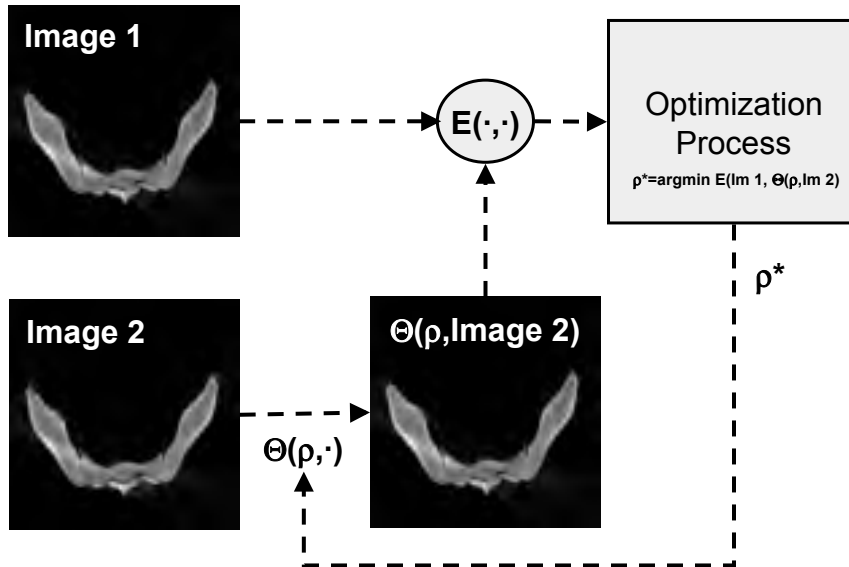
where

$$\bar{\mathbf{d}}_k = \mathbf{Q}_k^T (\bar{\mathbf{a}}_k - \bar{\mathbf{b}}_k)$$

This approach is readily extended to the case where the samples are not independent.

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Intensity-based methods



Intensity-based methods

- Typically performed between images
- The “features” in this case are the intensities associated with pixels (2D) or voxels (3D) in the images.
- General framework:

$$\vec{\rho}^* = \min_{\vec{\rho}} E(\text{Image}_1, \Theta(\vec{\rho}, \text{Image}_2))$$

- Methods differ mostly in choice of transformation function $\Theta(\cdot)$ and Energy function $E(\cdot, \cdot)$,

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Typical energy functions (not an exhaustive list)


Normalized image subtraction

$$E(I_{m_1}, I_{m_2}) = \sum_{\bar{k}} \frac{|I_{m_1}[\bar{k}] - I_{m_2}[\bar{k}]|}{\max_j (|I_{m_1}[\bar{j}] - I_{m_2}[\bar{j}]|)}$$

Normalized cross correlation

$$E(I_{m_1}, I_{m_2}) = \frac{\sum_{\bar{k}} (I_{m_1}[\bar{k}] - \text{avg}(I_{m_1})) (I_{m_2}[\bar{k}] - \text{avg}(I_{m_2}))}{\sqrt{\sum_{\bar{k}} (I_{m_1}[\bar{k}] - \text{avg}(I_{m_1}))^2} \sqrt{\sum_{\bar{k}} (I_{m_2}[\bar{k}] - \text{avg}(I_{m_2}))^2}}$$

Mutual information



$$E(I_{m_1}, I_{m_2}) = \sum_{p \in I_{m_1}, q \in I_{m_2}} \Pr(p, q) \log \Pr(p, q) - \Pr_{I_{m_1}}(p) \log \Pr_{I_{m_1}}(p) - \Pr_{I_{m_2}}(q) \log \Pr_{I_{m_2}}(q)$$

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Mutual Information

- First proposed independently in 1995 by Collignon and Viola & Wells.
- Very widely practiced
- Is able to co-register images with very different sensor modalities so long as there is a stable relationship between intensities in one modality with those in another
- Many “flavors” and variations

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Mutual Information

Entropy

$$H(a) = -\Pr(a) \log \Pr(a)$$

$$H(a,b) = -\Pr(a,b) \log \Pr(a,b)$$

Mutual Information (Viola & Wells '95, Colligan '95)

$$\text{Similarity}(A,B) = H(A) + H(B) - H(A,B)$$

Normalized mutual information (Maes et al. '97)

$$\text{Similarity}(A,B) = \frac{H(A) + H(B)}{H(A,B)}$$

Objective function

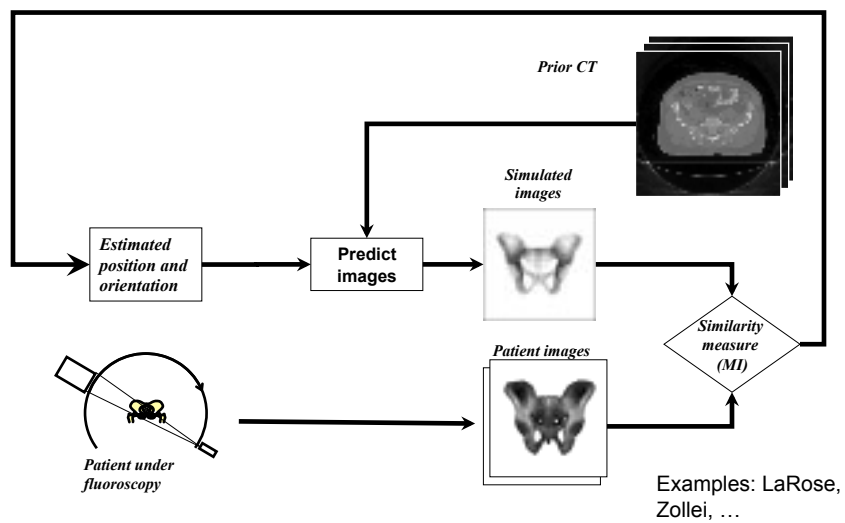
$$E(\text{Im}_1, \text{Im}_2) = -\text{Similarity}(\text{Im}_1, \text{Im}_2)$$

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Rigid 3D/2D Registration

Ofri Sadovsky

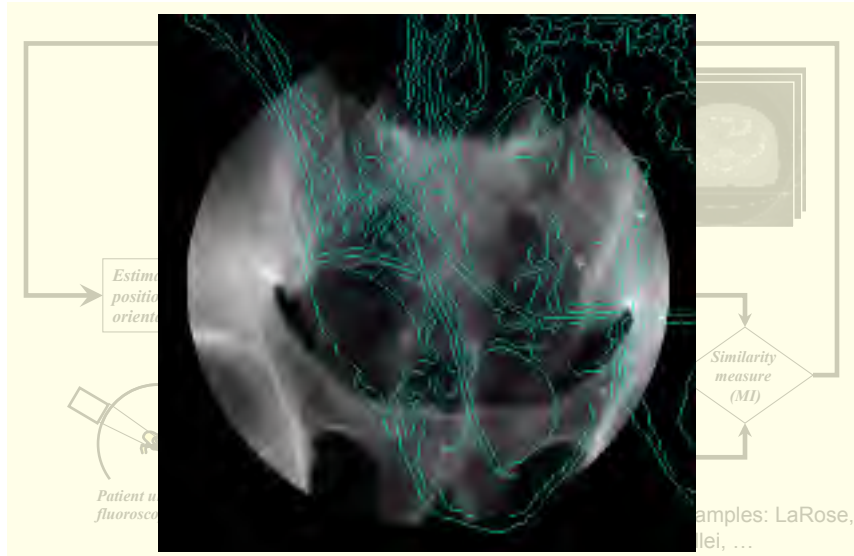
Optimizer: Downhill Simplex



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Rigid 3D/2D Registration

Ofri Sadowsky



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Deformable Registration to Statistical "Atlases"

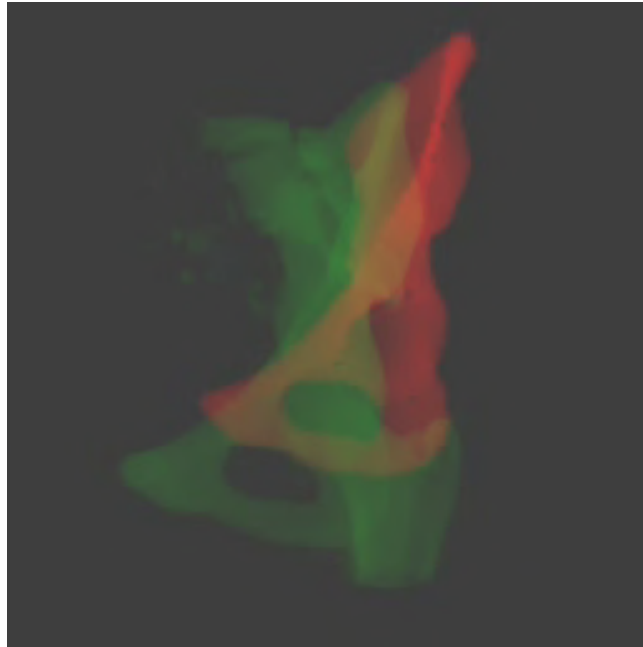


Deformable 3D/3D
Jianhua Yao



Deformable 2D/3D
Ofri Sadowsky

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Jianhua Yao
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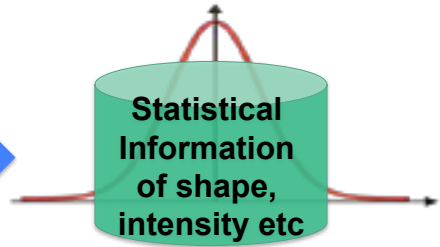
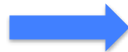
Deformable Atlas-based Registration

- Much of the material that follows is derived from the Ph.D. thesis work of J. Yao, Ofri Sadowsky, and Gouthami Chintalapani:
 - J. Yao, "Statistical bone density atlases and deformable medical image registrations", Ph. D. Thesis, Computer Science, The Johns Hopkins University, Baltimore, 2001.
 - O. Sadowsky, "Image Registration and Hybrid Volume Reconstruction of Bone Anatomy Using a Statistical Shape Atlas," Ph.D. Thesis, Computer Science, The Johns Hopkins University, Baltimore, 2008
 - G. Chintalapani, Statistical Atlases of Bone Anatomy and Their Applications, Ph.D. thesis in Computer Science, The Johns Hopkins University, Baltimore, Maryland, 2010.
- A number of other authors, including
 - Cootes et al. 1999 – "Active Appearance Models"
 - Feldmar and Ayache 1994
 - Ferrant et al. 1999
 - Fleute and Lavallee 1999
 - Lowe 1991
 - Maurer et al. 1996
 - Shen and Davatzikos 2000

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What is a “Statistical Atlas” ?

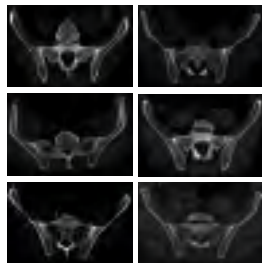
- An atlas that incorporates **statistics of anatomical shape and intensity variations** of a given population



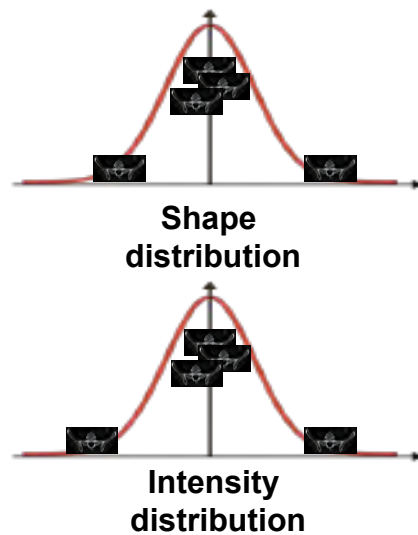
Credit: G. Chintalapani 2010

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Statistical Atlases



CT scans from a population



Slide Credit: G. Chintalapani 2010

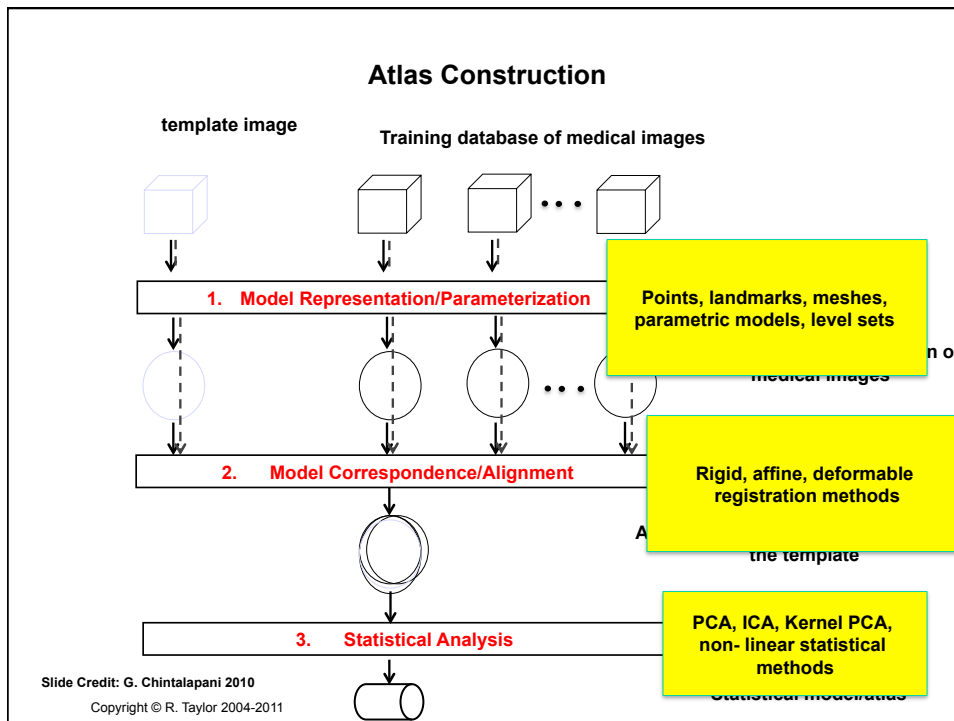
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 - Fleute and Lavallee 1999
 - Lowe 1991
 - Maurer et al. 1996
 - Shen and Davatzikos 2000

Digression on "active appearance models"

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Model Representation

- Tetrahedral mesh represents shape
- Bernstein polynomials approximate CT density within each tetrahedron[1,2]

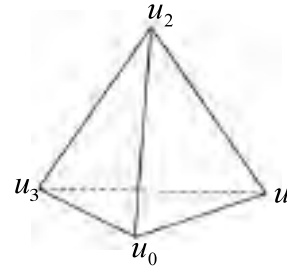
$$P^d(\mathbf{u}) = \sum_{|\mathbf{k}|=d} C_{\mathbf{k}} B_{\mathbf{k}}^d(\mathbf{u})$$

where

$$\mathbf{k} = (k_0, k_1, k_2, k_3) \quad \mathbf{u} = (u_0, u_1, u_2, u_3)$$

$$|\mathbf{k}| = k_0 + k_1 + k_2 + k_3 \quad |\mathbf{u}| = 1$$

$$B_{\mathbf{k}}^d(\mathbf{u}) = \frac{d!}{k_0!k_1!k_2!k_3!} u_0^{k_0} u_1^{k_1} u_2^{k_2} u_3^{k_3}$$

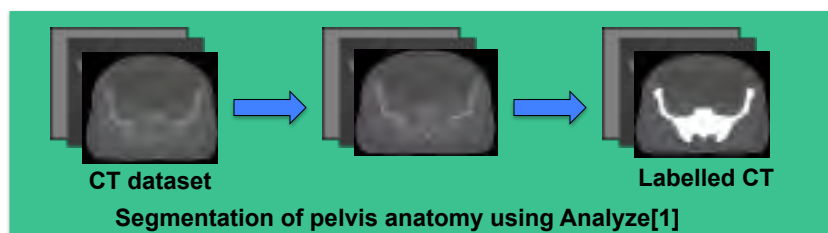


[1] Yao, PhD Thesis, 2002; [2] Sadowsky, PhD Thesis, 2008

Credit: G. Chintalapani 2010

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Model Creation



↓
Mesher[2]



Surface rendering of pelvis tetrahedral model; Cross-section of tetrahedral model showing CT densities

[1]Analyze, www.mayoclinic.org

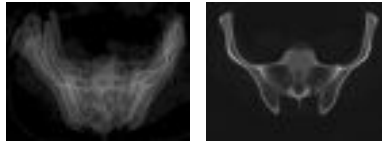
[2] Mohammed et al., 2005

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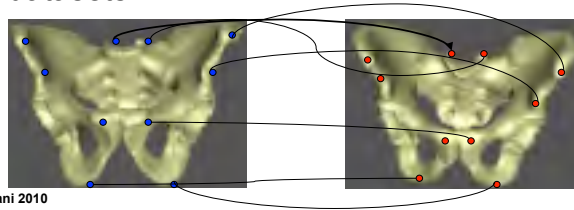
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Model Correspondence

- Need to establish a common coordinate frame for the training database



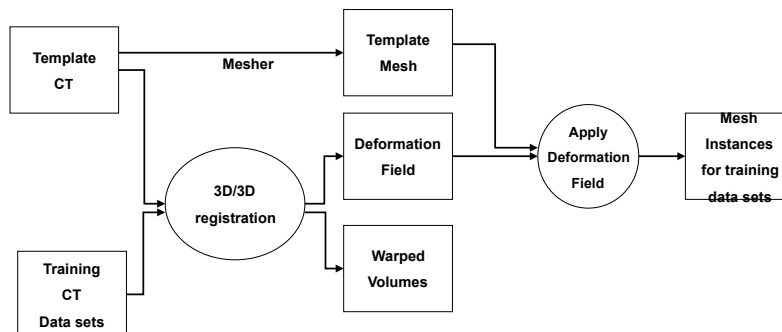
- Need to establish point correspondence between the training datasets



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Model Shape Correspondences

- Automatic deformable registration based shape correspondences



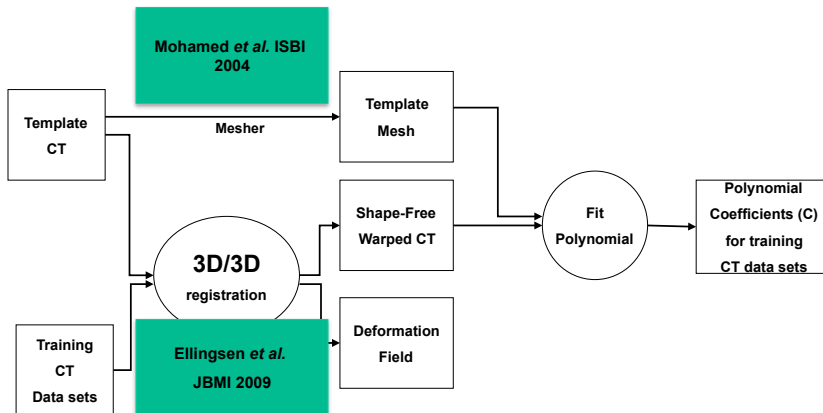
Flowchart for establishing shape correspondences for the training sample

Slide Credit: G. Chintalapani 2010
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[1] Rueckert et al., MICCAI 03

Model Intensity Correspondences

- Automatic deformable registration based correspondences



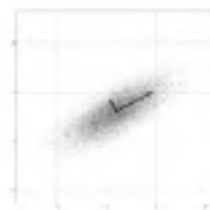
Flowchart for establishing intensity correspondences for the training sample

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Principal Component Analysis

- Given the mesh instances of training sample,

$$S = \begin{bmatrix} \hat{s}_1 & \hat{s}_2 & \dots & \hat{s}_N \end{bmatrix}_{3 \times N} = \begin{bmatrix} x_{11} & x_{12} & \dots & x_{1N} \\ y_{11} & y_{12} & \dots & y_{1N} \\ z_{11} & z_{12} & \dots & z_{1N} \\ \vdots & \vdots & \ddots & \vdots \\ y_{n1} & y_{n2} & \dots & y_{nN} \\ z_{n1} & z_{n2} & \dots & z_{nN} \end{bmatrix}$$



- Compute mean and subtract the mean from the sample

$$S = S - \bar{S} = S - \frac{1}{N} \sum_{i=1}^N \hat{s}_i$$

- Compute

$$SVD(S) = UDV^T$$

With principal components in U and eigen values $\lambda = \frac{1}{N-1} DD^T$

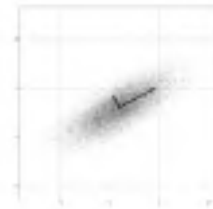
Slide Credit: G. Chintalapani 2010

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Principal Component Analysis

- Given the PCA model, any data instance can be expressed as a linear combination of the principal components

$$\bar{s} + \sum_{k=1}^{N-1} U_k \lambda_k$$



- Compact model \rightarrow fewer components
- Select first 'd' components represented by the 'd' eigen values

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Statistical Shape and Intensity Models

- Shape statistical model: Mesh vertices become data matrix

$$\bar{s} + \sum_{k=1}^d U_k \lambda_k = \bar{s} + U^T \lambda$$

- Intensity statistical model: Polynomial coefficients become data matrix

$$\bar{c} + \sum_{k=1}^p Y_k \mu_k = \bar{c} + Y^T \mu$$

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Statistical Atlases & PCA

Given a set of N models $\vec{\mathbf{X}}^{(j)} = [\vec{\mathbf{x}}_k^{(j)}]^T = [\dots x_k^{(j)}, y_k^{(j)}, z_k^{(j)}, \dots]$, compute

$$\vec{\mathbf{X}}^{(avg)} = \begin{bmatrix} \vdots \\ \vec{\mathbf{x}}_k^{(avg)} \\ \vdots \end{bmatrix} \text{ where } \vec{\mathbf{x}}_k^{(avg)} = \frac{1}{N} \sum_j \vec{\mathbf{x}}_k^{(j)} \text{ and the differences}$$

$$\vec{\mathbf{D}}^{(j)} = \vec{\mathbf{X}}^{(j)} - \vec{\mathbf{X}}^{(avg)} = \begin{bmatrix} \vdots \\ \vec{\mathbf{d}}_k^{(j)} \\ \vdots \end{bmatrix} \text{ where } \vec{\mathbf{d}}_k^{(j)} = \vec{\mathbf{x}}_k^{(j)} - \vec{\mathbf{x}}_k^{(avg)}. \text{ Create the matrix}$$

$$\mathbf{D} = \begin{bmatrix} \dots & \vec{\mathbf{D}}^{(j)} & \dots \end{bmatrix}_{[3Nvertices \times N]} = \begin{bmatrix} \vec{\mathbf{d}}_1^{(1)} & \dots & \vec{\mathbf{d}}_k^{(1)} & \dots & \vec{\mathbf{d}}_1^{(1)} \\ \vdots & & \vdots & & \vdots \\ \vec{\mathbf{d}}_k^{(1)} & \dots & \vec{\mathbf{d}}_k^{(j)} & \dots & \vec{\mathbf{d}}_k^{(N)} \\ \vdots & & \vdots & & \vdots \\ \vec{\mathbf{d}}_{Nvertices}^{(1)} & \dots & \vec{\mathbf{d}}_{Nvertices}^{(j)} & \dots & \vec{\mathbf{d}}_{Nvertices}^{(N)} \end{bmatrix}$$

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Statistical Atlases & PCA

Compute the singular value decomposition of \mathbf{D}

$$\mathbf{D} = \mathbf{U} \Sigma \mathbf{V}^T \quad \text{where } \Sigma = \begin{bmatrix} \text{diag}(\vec{\sigma}) \\ \mathbf{0} \end{bmatrix}.$$

$$\mathbf{D} = \mathbf{U} \begin{bmatrix} \text{diag}(\vec{\sigma}) \mathbf{V}^T \\ \mathbf{0} \end{bmatrix}$$

Note that

$$\frac{1}{N} \mathbf{D}^T \mathbf{D} = \frac{1}{N} \mathbf{V} \Sigma \mathbf{U}^T \mathbf{U} \Sigma \mathbf{V}^T = \frac{1}{N} \mathbf{V} \Sigma^2 \mathbf{V}^T$$

$$\frac{1}{N} \mathbf{D} \mathbf{D}^T = \frac{1}{N} \mathbf{U} \Sigma \mathbf{V}^T \mathbf{V} \Sigma \mathbf{U}^T = \frac{1}{N} \mathbf{U} \Sigma^2 \mathbf{U}^T$$

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Any individual model $\mathbf{D}^{(j)}$ can be written as a linear combination of the rows of \mathbf{U} . Treating $\vec{\mathbf{D}}^{(j)}$ as a column vector, we can write this as

$$\vec{\mathbf{D}}^{(j)} = \mathbf{U} \bullet \begin{bmatrix} \lambda_1^{(j)} \\ \vdots \\ \lambda_N^{(j)} \\ \vec{\mathbf{0}} \end{bmatrix} \quad \text{where} \quad \begin{bmatrix} \lambda_1^{(j)} \\ \vdots \\ \lambda_N^{(j)} \\ \vec{\mathbf{0}} \end{bmatrix} \text{ is the } j^{\text{th}} \text{ column of } \begin{bmatrix} \text{diag}(\vec{\sigma})\mathbf{V}^T \\ \mathbf{0} \end{bmatrix}$$

If we define

$$\mathbf{M} = [\mathbf{U}^{(1)} \quad \dots \quad \mathbf{U}^{(N)}] \quad (\text{i.e., the first } N \text{ columns of } \mathbf{U})$$

we get the expression

$$\vec{\mathbf{D}}^{(j)} = \mathbf{M} \vec{\lambda} \quad \text{where } \vec{\lambda} \text{ is the } j^{\text{th}} \text{ column of } (\text{diag}(\vec{\sigma})\mathbf{V}^T).$$

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Note that while \mathbf{U} is $3N_{\text{vertices}} \times 3N_{\text{vertices}}$ (i.e., huge), \mathbf{M} has only the first N columns, since there are at most N non-zero singular values

In fact, we usually also truncate even more, only saving columns corresponding to relatively large singular values σ_i . Since the standard algorithms for SVD produce positive singular values σ_i sorted in descending order, this is easy to do.

Note also, that since the columns of \mathbf{M} are also columns of \mathbf{U} , they are orthogonal. Hence $\mathbf{M}^T \mathbf{M} = \mathbf{I}_{N \times N}$. But $\mathbf{M} \mathbf{M}^T = \mathbf{C}$ will be an $3N_{\text{vertices}} \times 3N_{\text{vertices}}$ matrix that will not in general be diagonal.

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Statistical Atlases & PCA

As a practical matter, it is not a good idea to ask your SVD program to produce the full matrix \mathbf{U} for an $3N_{\text{vertices}} \times N$ matrix \mathbf{D} . Most SVD packages give you the option to compute only the singular values $\vec{\sigma}$ and the right hand side matrix \mathbf{V} or its transpose. Then, \mathbf{M} can be computed from

$$\mathbf{M} \text{diag}(\vec{\sigma}) \mathbf{V}^T = \mathbf{D}$$

$$\mathbf{M} \text{diag}(\vec{\sigma}) = \mathbf{D} \mathbf{V}$$

$$\mathbf{M} = \mathbf{D} \mathbf{V} \text{diag}(\vec{\sigma})^{-1}$$

$$= \mathbf{D} \mathbf{V} \begin{bmatrix} 1/\sigma_1 & 0 & \dots & \dots & 0 \\ 0 & \ddots & & & \vdots \\ \vdots & & 1/\sigma_k & & \vdots \\ \vdots & & & \ddots & 0 \\ 0 & \dots & \dots & 0 & 1/\sigma_N \end{bmatrix}$$

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Similarly, given a vector $\vec{\mathbf{D}}^{(inst)}$ we can find a corresponding vector $\vec{\lambda}^{(inst)}$ from the following

$$\begin{aligned} \vec{\mathbf{D}}^{(inst)} &= \mathbf{M} \vec{\lambda}^{(inst)} \\ \mathbf{M}^T \vec{\mathbf{D}}^{(inst)} &= \mathbf{M}^T \mathbf{M} \vec{\lambda}^{(inst)} \\ &= \vec{\lambda}^{(inst)} \end{aligned}$$

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Statistical Atlases & PCA

Suppose that we select $\vec{\lambda} = [\lambda_1, \dots, \lambda_N]^T$ as a random variable with some distribution having expected value $E(\vec{\lambda}) = \vec{0}$ and covariance

$$\text{cov}(\vec{\lambda}) = E(\vec{\lambda} \bullet \vec{\lambda}^T) = \begin{bmatrix} E(\lambda_1^2) & \cdots & E(\lambda_1 \lambda_N) \\ \vdots & \ddots & \vdots \\ E(\lambda_N \lambda_1) & \cdots & E(\lambda_N^2) \end{bmatrix} = \Sigma^2$$

and compute a corresponding random model $\vec{X}(\vec{\lambda})$

$$\vec{X}(\vec{\lambda}) = \vec{X}^{(avg)} + \mathbf{M} \bullet \vec{\lambda}$$

What can we say about the expected value and covariance of $\vec{X}(\vec{\lambda})$?

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Statistical Atlases & PCA

For the expected value, we have

$$\begin{aligned} E(\vec{X}(\vec{\lambda})) &= E(\vec{X}^{(avg)} + \mathbf{M} \bullet \vec{\lambda}) \\ &= \vec{X}^{(avg)} + \mathbf{M} \bullet E(\vec{\lambda}) \\ &= \vec{X}^{(avg)} \end{aligned}$$

Then

$$\begin{aligned} \text{cov}(\vec{X}(\vec{\lambda})) &= E(\vec{D}(\vec{\lambda}) \bullet \vec{D}(\vec{\lambda})^T) \quad \text{where } \vec{D}(\vec{\lambda}) = \vec{X}(\vec{\lambda}) - \vec{X}^{(avg)} \\ &= E(\mathbf{M} \bullet \vec{\lambda} \bullet \vec{\lambda}^T \bullet \mathbf{M}) \\ &= \mathbf{M} \bullet E(\vec{\lambda} \bullet \vec{\lambda}^T) \bullet \mathbf{M}^T \\ &= \mathbf{M} \bullet \Sigma^2 \bullet \mathbf{M}^T \end{aligned}$$

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Statistical Atlases & PCA

Thus, if we assemble a representative sample set of models $\vec{\mathbf{X}}^{(j)}$, and compute the average model $\vec{\mathbf{X}}^{(avg)}$ and the SVD of the corresponding matrix $\mathbf{D} = \left[\dots \left(\vec{\mathbf{X}}^{(j)} - \vec{\mathbf{X}}^{(avg)} \right) \right]$, then we have a way of generating an arbitrary number of models

$$\vec{\mathbf{X}}^{(inst)} = \vec{\mathbf{X}}^{(avg)} + \mathbf{M} \vec{\lambda}^{(inst)} = \vec{\mathbf{X}}^{(avg)} + \sum_k \vec{\mathbf{M}}^{(k)} \lambda_k^{(inst)}$$

with the same mean and covariance. I.e., we know how the individual features $\vec{\mathbf{x}}_k^{(inst)}$ co-vary.

Further, given a representative model instance $\vec{\mathbf{X}}^{(inst)}$ we can compute a corresponding set of mode weights $\vec{\lambda}^{(inst)}$ from

$$\vec{\lambda}^{(inst)} = \mathbf{M}^T \left(\vec{\mathbf{X}}^{(inst)} - \vec{\mathbf{X}}^{(avg)} \right)$$

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Statistical Atlas

Thus, one representation of a statistical "atlas" of models consists of

- An average model $\vec{\mathbf{X}}^{(avg)}$
- An eigen matrix \mathbf{V} of variation modes
- A diagonal covariance matrix Σ^2 for the modes

This information may be used in many ways, including

- Atlas-based deformable segmentation/registration
- Statistical analysis of anatomic variation
- etc.

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Deformable Registration Between Density Atlas and Patient CT

- Goal: Register and Deform the statistical density atlas to match patient anatomy
- Significance:
 - Building patient specific model with same topology (mesh structure) as the atlas
 - Automatic segmentation
 - Accumulatively building models for training set
 - Pathological diagnosis

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Deformable Registration Scheme

- Affine Transformation
 - Translation $T=(t_x, t_y, t_z)$
 - Rotation $R=(r_x, r_y, r_z)$
 - Scale $S=(s_x, s_y, s_z)$
- Global Deformation
 - Statistical deformation mode (M_i)
- Local Deformation
 - Adjustment of every vertex

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Optimization Algorithm

- Direction Set (Powell's) methods in multi-dimensions
 - Search the parameter space to minimize the cost functions
 - Advantage
 - Don't need to compute derivative of cost functions
 - Much fewer evaluations than downhill simplex methods

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Energy Function

- To measure the density and shape difference between model and image

$$E(\text{mdl}, \text{img}) = w_s E^{(s)}(\text{mdl}, \text{img}) + w_d E^{(d)}(\text{mdl}, \text{img})$$

$$E^{(s)}(\text{mdl}, \text{img}) = \sum_{i=1}^{N(v)} (\bar{g}^{(\text{mdl})}(v_i) \cdot \bar{g}^{(\text{img})}(v_i))$$

$$E^{(d)}(\text{mdl}, \text{img}) = \sum_{i=1}^{N(t)} \left(\oint_{\mu} \left(\frac{d^{(\text{mdl})}(t_i, \mu) - d^{(\text{img})}(t_i, \mu)}{d^{(\text{mdl})}(t_i, \mu)} \right)^2 \right)$$

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Local Deformation

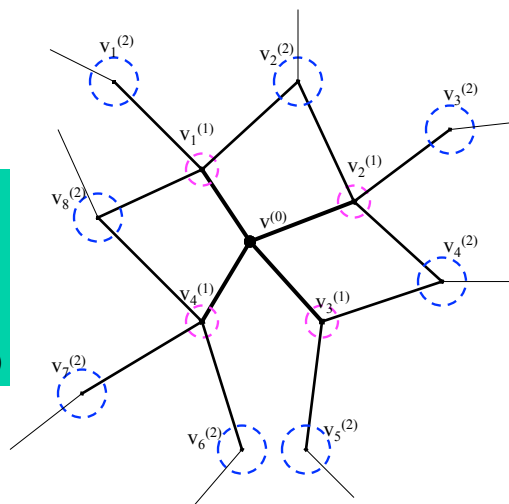
- Motivation: Statistical deformation can't capture all the variability due to the limited number of models in the training set
- Locally adjust the location of vertices to match the boundary of the bone and the interior density property
- Use multiple-layer flexible mesh template matching to find the correspondence between model vertices and image voxels

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Multiple-layer Flexible Mesh Template

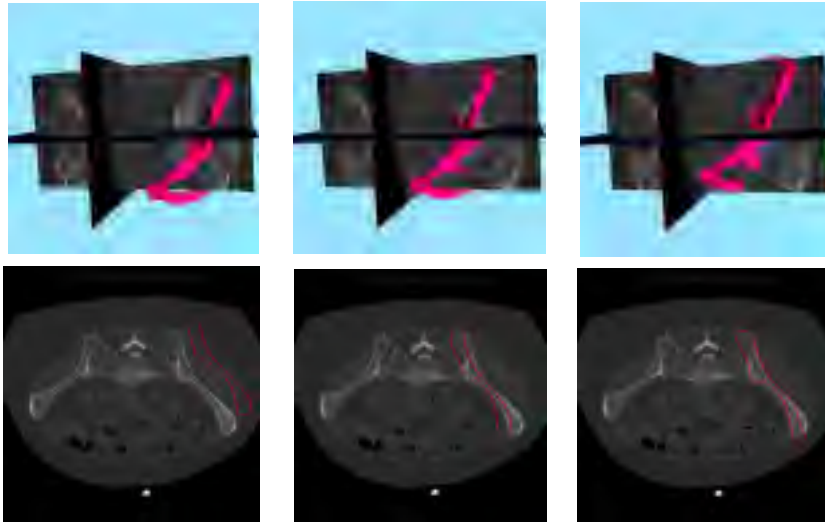
- Each vertex on the model defines a mesh template
- Template is in the form

$(0, Sphere(v_1^{(1)} - v^{(0)}, r_1),$
 $Sphere(v_2^{(1)} - v^{(0)}, r_1), \dots,$
 $Sphere(v_1^{(2)} - v^{(0)}, r_2),$
 $Sphere(v_1^{(2)} - v^{(0)}, r_2), \dots)$



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Results (Affine Transformation)



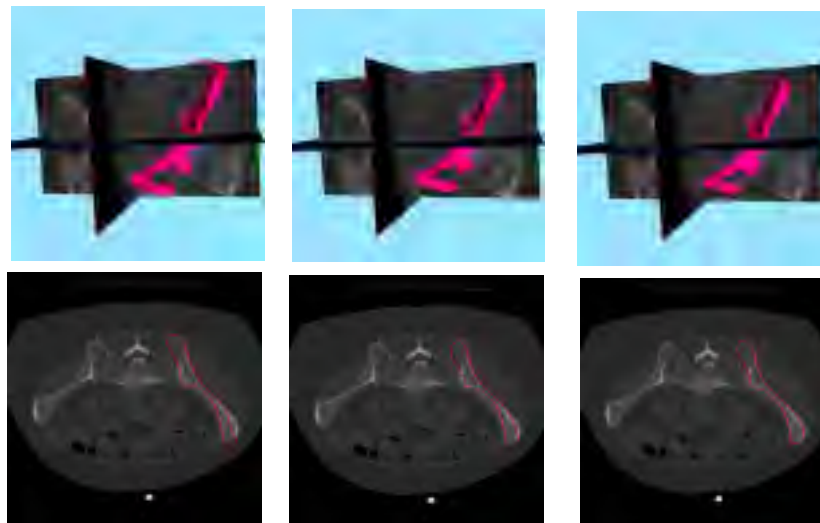
Initial

Intermediate

Final

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Results (Global Deformation)



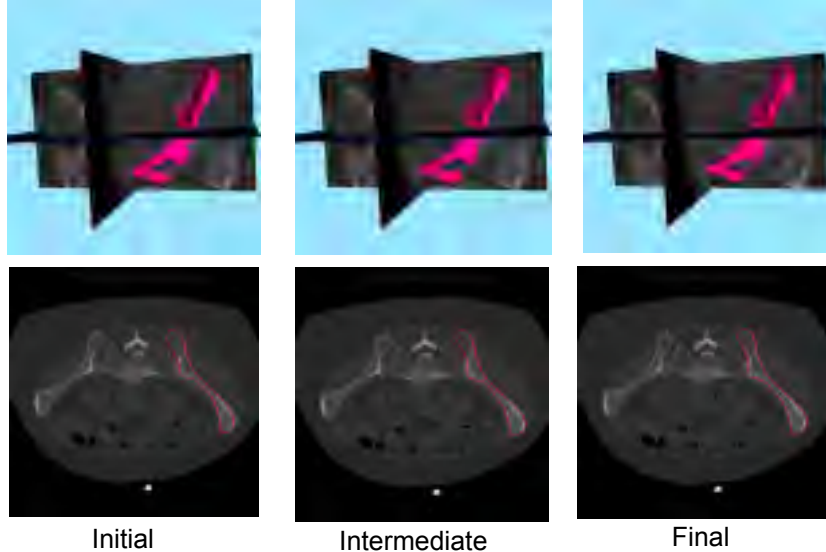
Initial

Intermediate

Final

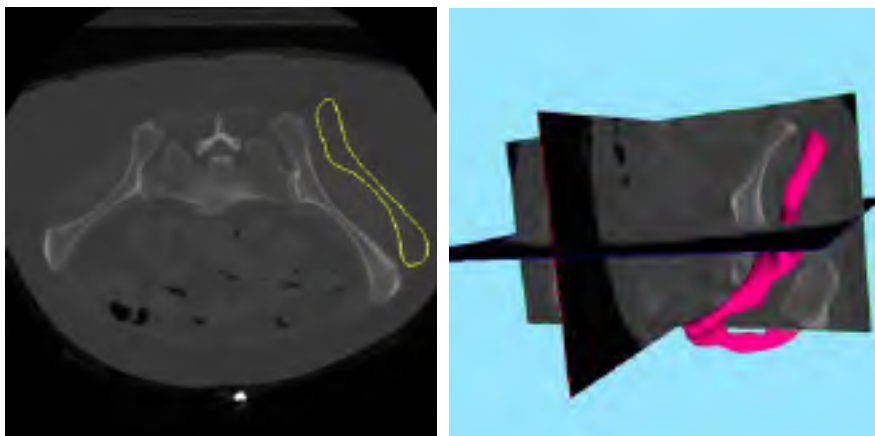
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Results (Local Deformation)



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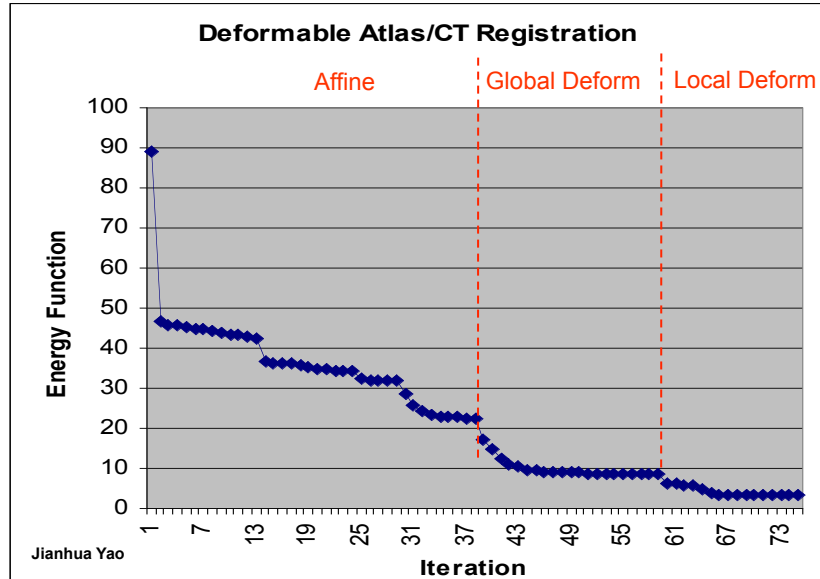
Deformable Atlas-to-CT Registration (3D-3D)



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Results (Deformable Registration)



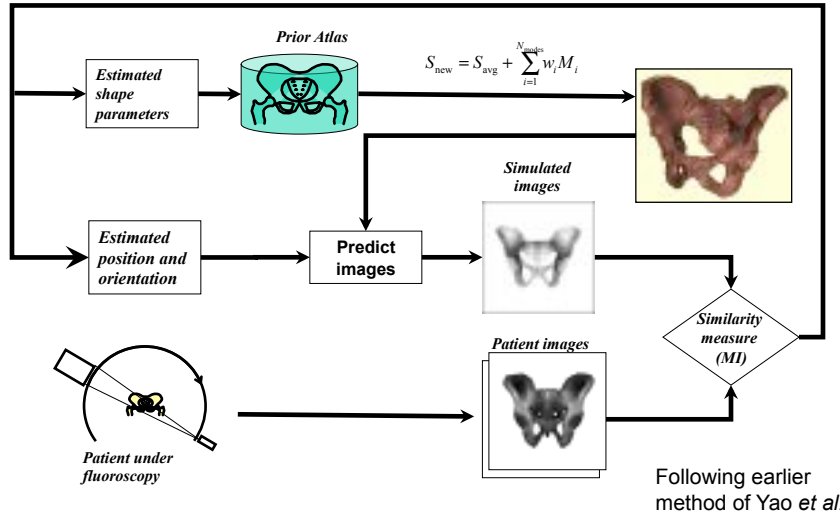
Deformable registration between density atlas and a set of 2D X-Rays

- Goal: Register and Deform the statistical density atlas to match intraoperative x-rays
- Significance:
 - Build virtual patient specific CT without real patient CT
 - Register pre-operative models and intra-operative images
 - Map predefined surgical procedure and anatomical landmarks into intra-operative images

Deformable 3D/2D Registration

Ofri Sadovsky

Optimizer: Downhill Simplex

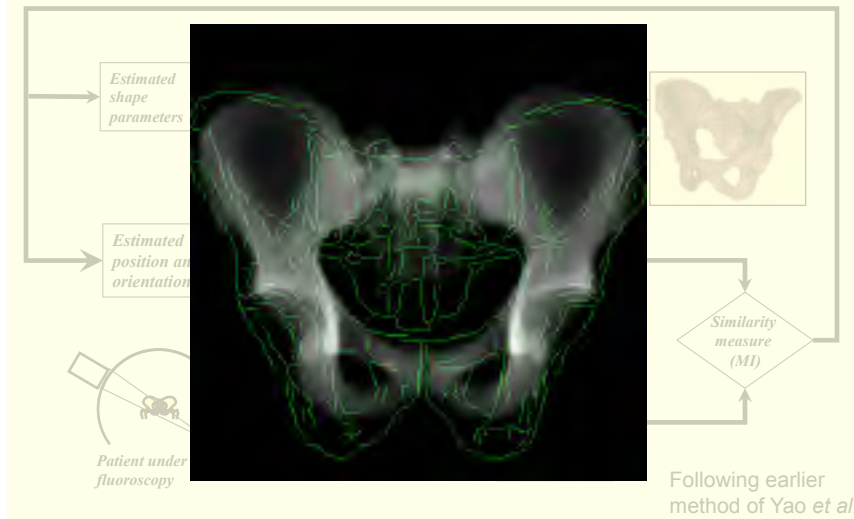


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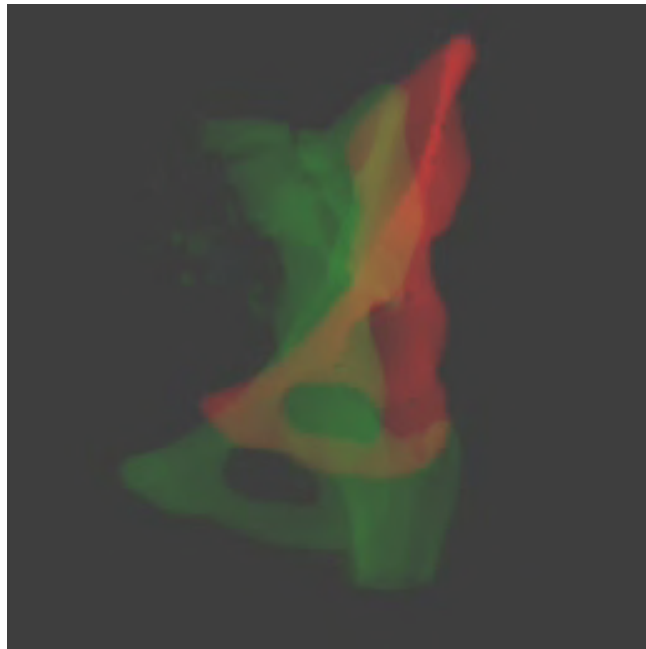
Deformable 3D/2D Registration

Ofri Sadovsky

Optimizer: Downhill Simplex



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2D-3D Reconstruction from 3 DEXA Images

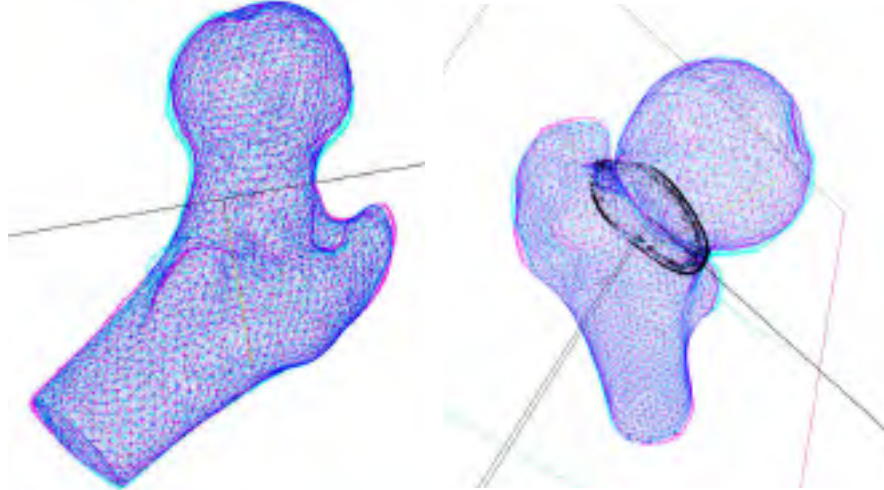
Omar Ahmad, *et al.*



Hologic, Inc.

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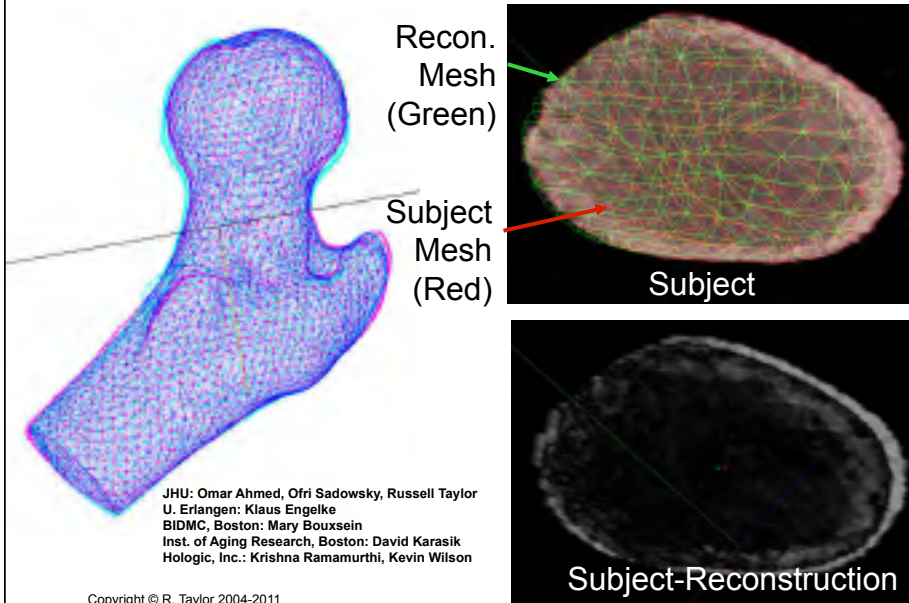
2D-3D Reconstruction from 3 DEXA Images+Atlas



JHU: Omar Ahmed, Ofri Sadovsky, Russell Taylor
 U. Erlangen: Klaus Engelke
 BIDMC, Boston: Mary Bouxsein
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Inst. of Aging Research, Boston: David Karasik
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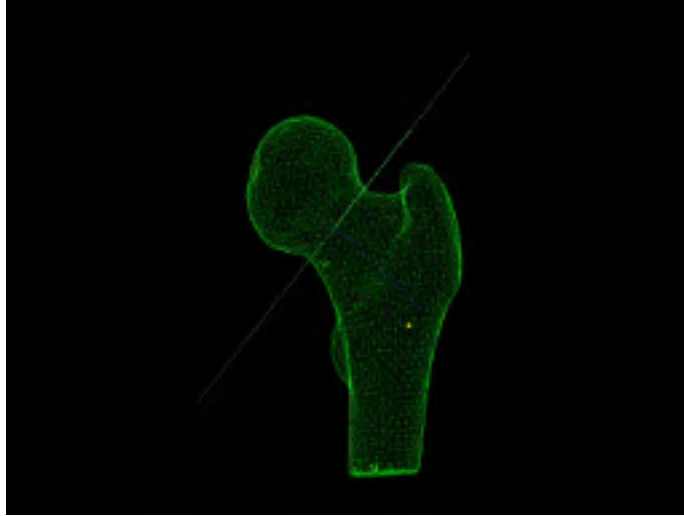
2D-3D Reconstruction from 3 DEXA Images+Atlas



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Femur model from three 2D DXA images



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