

Project IX:  
Big Data Meets Medical  
Physics Dosimetry  
Foundations and Recent Advances

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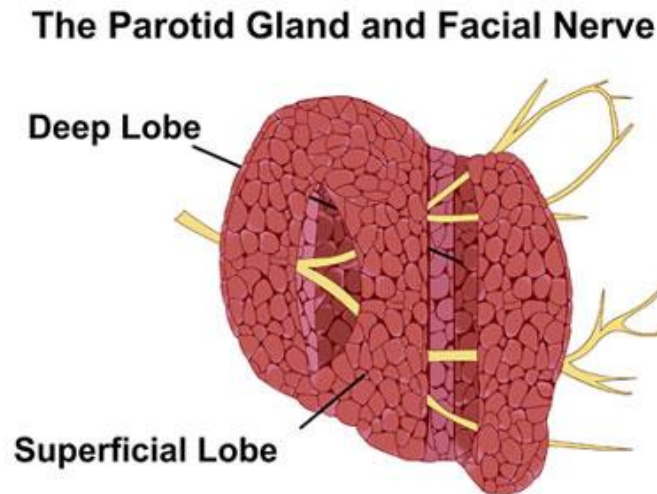
Dr. Scott Robertson



# Topic

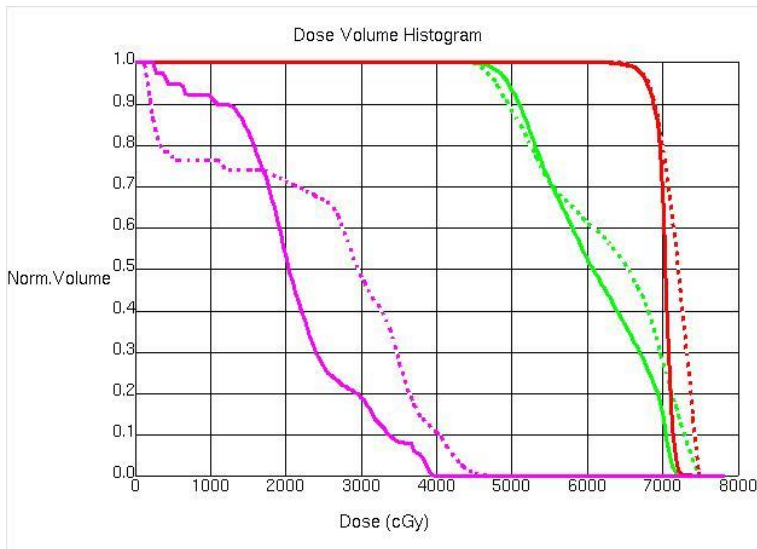
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- ▶ Goal – improve radiotherapy risk assessment through data mining.
- ▶ Formal term for risk measure is normal tissue complication probability (NTCP)
- ▶ Initial focus – xerostomia (dry mouth) due irradiation of the parotid gland.



# Literature

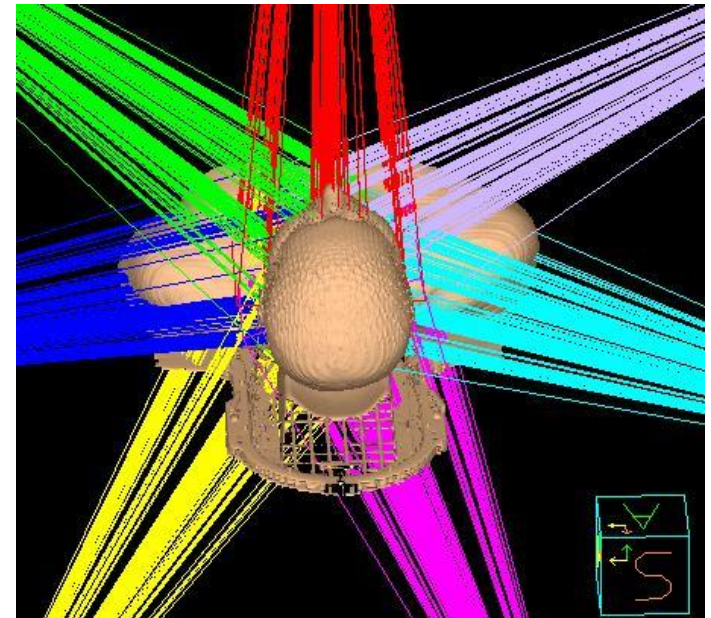
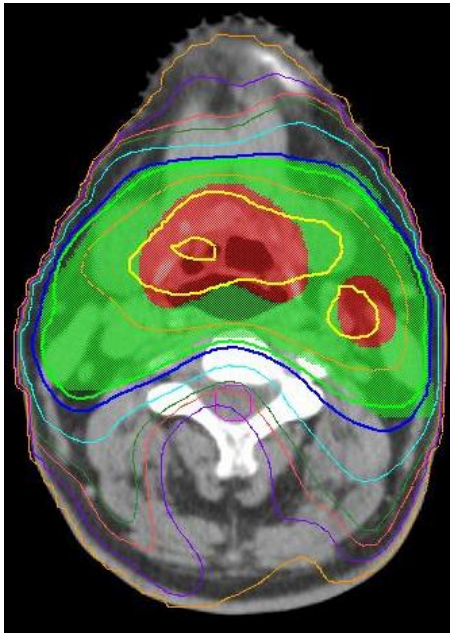
- ▶ Conventional method for computing NTCP, Lyman-Kutcher-Berman (LKB).
- ▶ This seminar presents four papers that form the foundation of LKB.
- ▶ Seminar includes a more recent paper incorporating PCA.



ROI Statistics								
Line Type	ROI	Trial	Min.	Max.	Mean	Std. Dev.	% O	(
.....	ptv1	Conformal	3568.6	7567.6	6252.6	896.3	0.0	
.....	ptv1	IMRT	3355.2	7366.9	6078.4	743.5	0.0	
.....	ptv2	Conformal	5382.9	7541.1	7174.1	209.3	0.0	
.....	ptv2	IMRT	5049.4	7366.9	7016.2	128.2	0.0	
.....	s.cord	Conformal	132.1	4687.2	2449.1	1439.0	2.0	
.....	s.cord	IMRT	236.7	3957.8	1725.1	1138.0	19.0	

# Motivation for LKB

- ▶ The adoption of CT led to the emergence of 3D dose planning.
- ▶ Previous approach assumed uniform irradiation of the entire organ.
- ▶ NTCP assessment used  $TD_{50}$  and  $TD_5$  “tolerance doses” (Rubin & Casarett 1972).
- ▶ Need to calculate NTCP of non-uniform doses over parts of the volume.



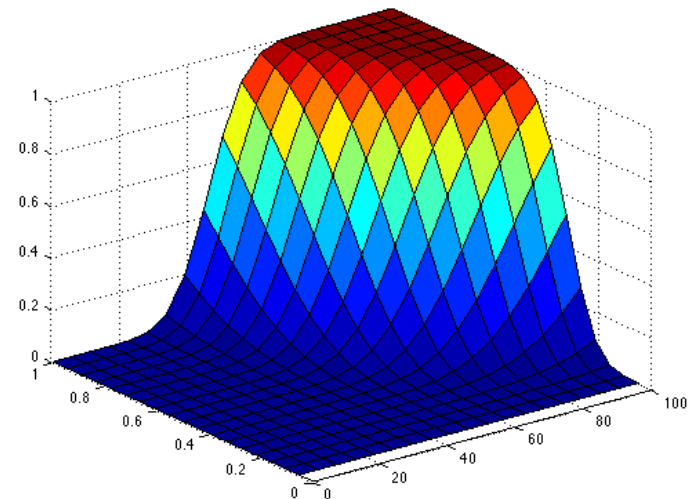
# Lyman (1985) – The Model

- ▶ Assumes a power equation relationship between whole and partial volume.
- ▶ Incorporates previous  $TD_{50}$  approach.
- ▶ Requires parameterization of  $n$  and  $m$ .
- ▶ Accounts for partial volume, but still assumes uniform dose.

$$TD_{50}(V) = \frac{TD_{50}(1)}{V^n}$$

$$t \sim N(0, 1), \quad t = \frac{D - TD_{50}}{\sigma(V)},$$

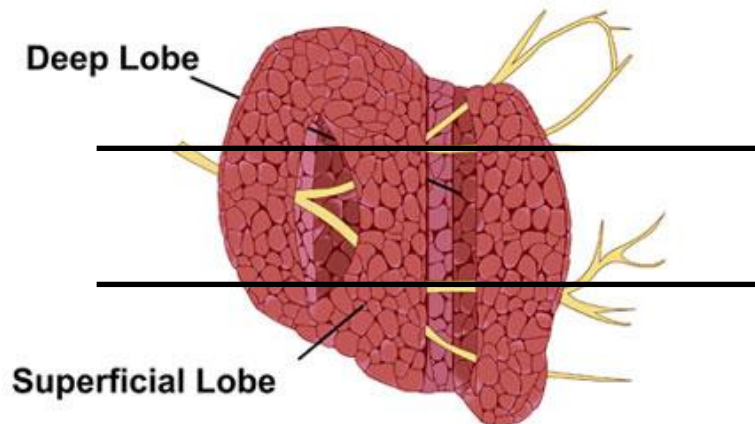
$$\text{where } \sigma(V) = m[TD_{50}(V)]$$



# Emami, et al. (1991) – The Data

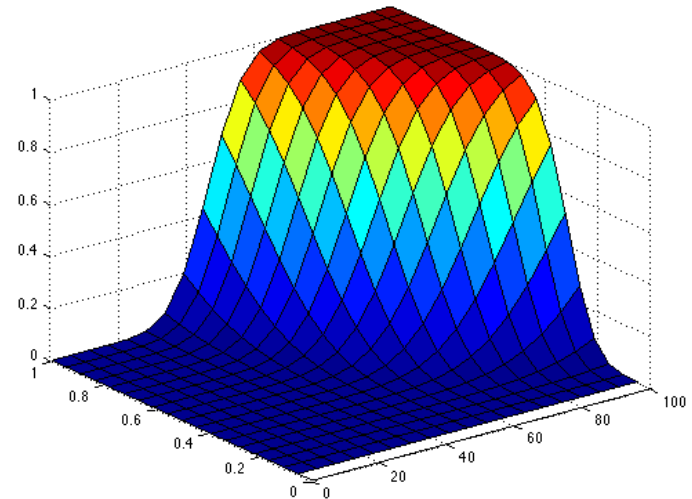
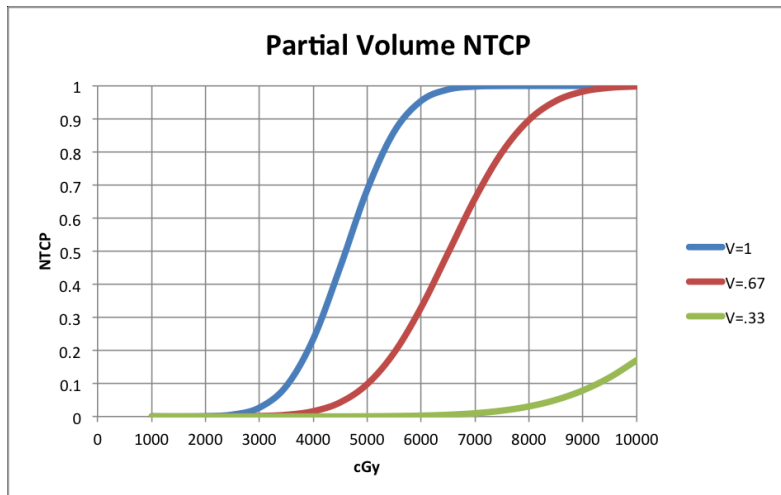
- ▶ Provides  $TD_{50}$  and  $TD_5$  values (estimates) for  $\frac{1}{3}$ ,  $\frac{2}{3}$ , and whole volumes.
- ▶ Values from combination of literature review, hard data, estimates from clinical experience.
- ▶ For parotid, assume minimum 50% volume exposure for xerostomia.
- ▶  $TD_5 = 3,200$ ,  $TD_{50} = 4,600$  for  $\frac{2}{3}$  and whole volumes;  $TD_{100} = 5,000$ .

The Parotid Gland and Facial Nerve



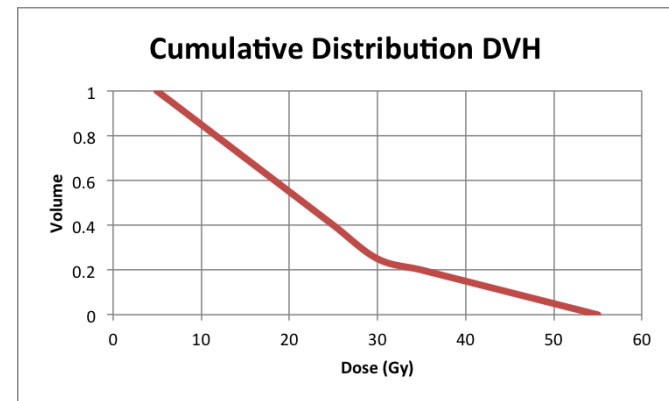
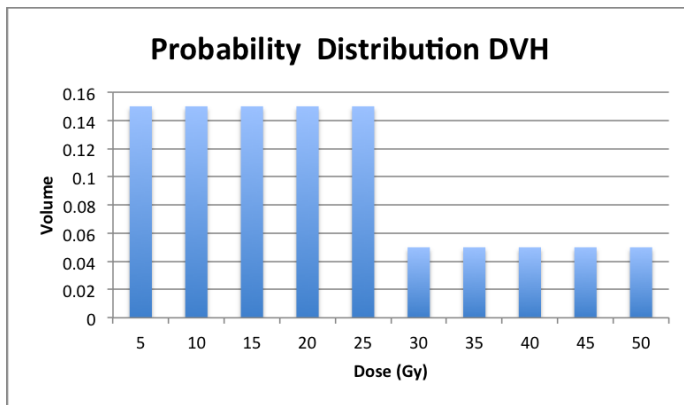
# Burman, et al. (1991) – Parameters

- ▶ Uses data from Emani, et. al. to calculate Lyman parameters.
- ▶ Values from combination of literature review, hard data, estimates from clinical experience.
- ▶ For parotid, assume minimum 50% volume exposure for xerostomia.
- ▶  $TD_{50} = 4,600$ ,  $TD_5 = 3,200$  for  $\frac{2}{3}$  and whole volumes;  $TD_{100} = 5,000$  .



# Kutcher & Burman (1989) – DVH

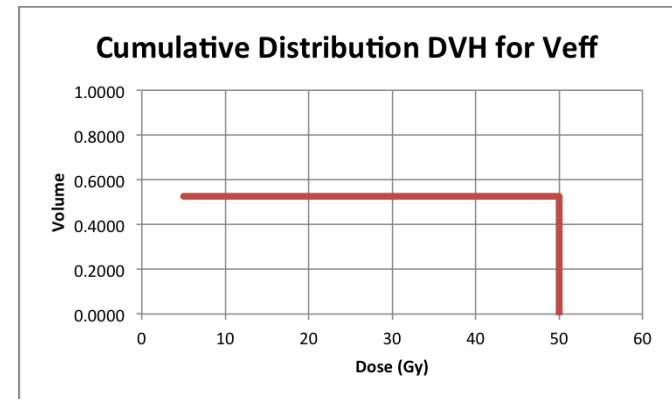
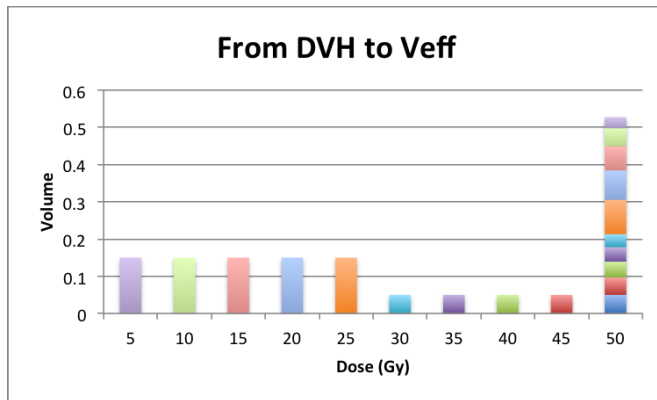
- ▶ Replace uniform with dose volume histogram (DVH).
- ▶ DVH may represent probability distribution or cumulative distribution.
- ▶ DVH removes spatial location.
- ▶ Typically visualized as cumulative distribution, “y% of the volume has received at least x dose.”





# Kutcher & Burman – $D_{max}$ , $V_{eff}$

- ▶ Reduces DVH to a single pair of  $D_{max}$ ,  $V_{eff}$  values.
- ▶ Assumes high doses to small volumes are equivalent to smaller doses to larger volumes.
- ▶ Equivalence described by power relationship.
- ▶ Results in inputs for Lyman equation.



$$\Delta V_{eff} = \Delta V_i \left( \frac{D_i}{D_{max}} \right)^{\frac{1}{n}}$$

$$V_{eff} = \sum_i V_i \left( \frac{D_i}{D_{max}} \right)^{\frac{1}{n}}$$



# LKB – Summary

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## ▶ Pros:

- ▶ Adapts single dose whole volume  $TD_5$  and  $TD_{50}$  dose guidelines to NTCP values for non-uniform doses over a partial volume.
- ▶ Makes biological based assumptions.
- ▶ Only three parameters.

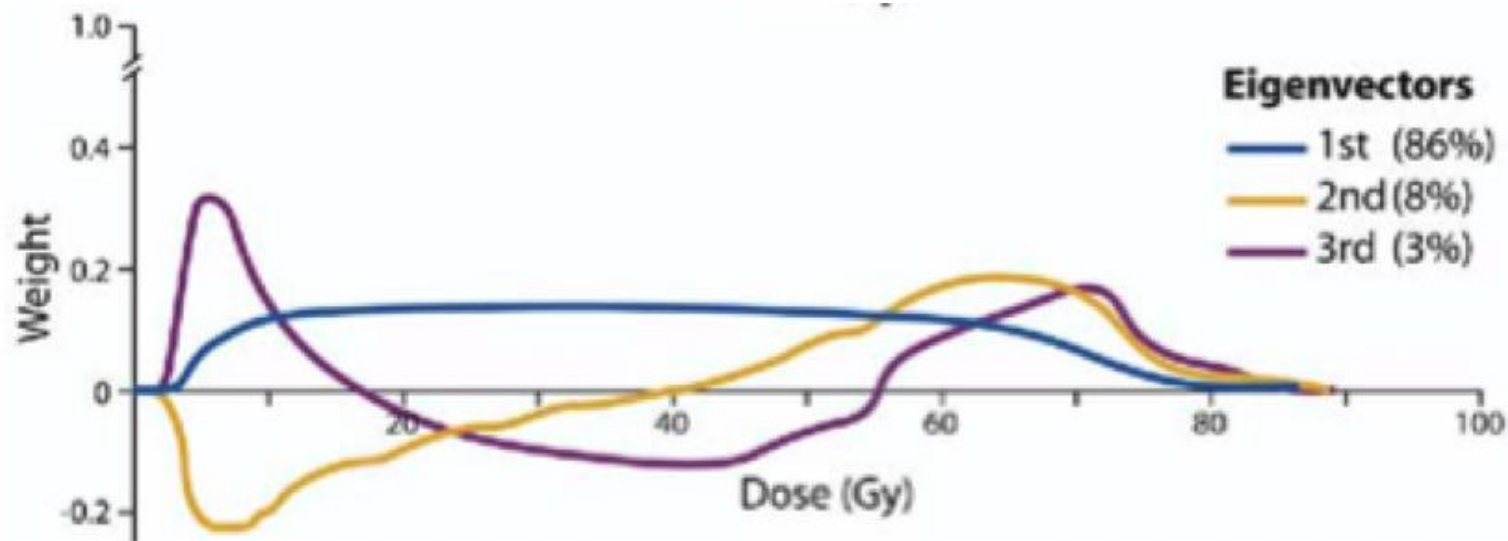
## ▶ Cons:

- ▶ Does not account for treatment location.
- ▶ Conventional parameterization performed with scarcity of hard data.
- ▶ Using  $(D_{max}, V_{eff})$  removes a great deal of shape data from the DVH.



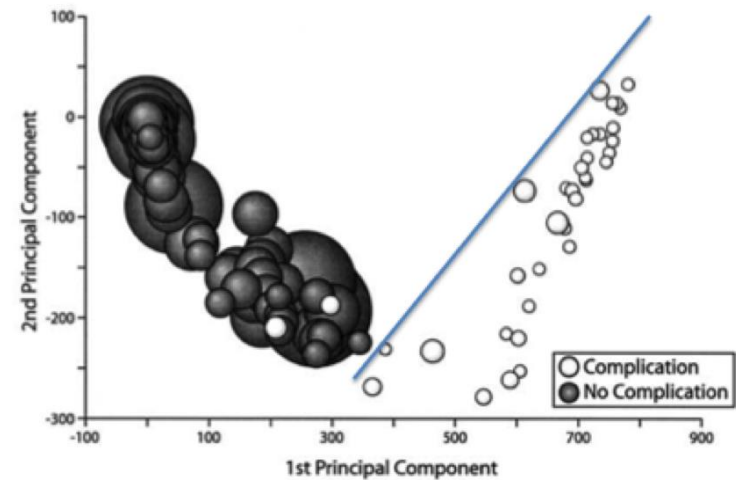
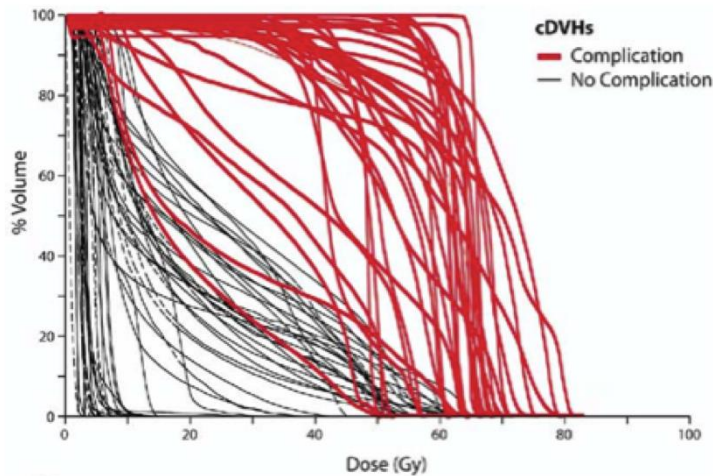
# Dawson et al. (2005) – PCA of DVH

- ▶ No need for parameterization.
- ▶ For parotid, two PCs described 94% of the variance.
- ▶ Three PCs richly capture DVH shape.
- ▶ Possible improvement by centering and scaling data.



# Dawson et al. (2005) – PCA of DVH


- ▶ PCA may provide better classification than  $D_{max}$ ,  $V_{eff}$ .
- ▶ Treatment based, not biologically based. PCs may not capture new protocols.



# Application to Project IX

- ▶ We wish to include dose location in NTCP calculation.
- ▶ Divide our regions of interest into 125 equal sized 3D rectangular volumes.
- ▶ Calculate DVHs on the whole ROI and each subregion.
- ▶ Reduce DVHs to two PCs for data mining task.

DVHData	
X	
Y	
Z	
roiDoseSummaryID	

RegionsOfInterest	
 ID	
name	
volume	
mask	
patientRepID	
Created	
Modified	
Active	

# References

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- ▶ \*Burman, C., et al. (1991). Fitting of normal tissue tolerance data to an analytic function. *International Journal of Radiation Oncology\* Biology\* Physics*, 21 (1), 123-135.
  - ▶ \*Dawson, L. A., et al. (2005). Use of principal component analysis to evaluate the partial organ tolerance of normal tissues to radiation. *International Journal of Radiation Oncology\* Biology\* Physics*, 62 (3), 829-837.
  - ▶ \*Emami, B., Lyman, et al. (1991). Tolerance of normal tissue to therapeutic irradiation. *International Journal of Radiation Oncology\* Biology\* Physics*, 21 (1), 109-122.
  - ▶ Kutcher, G., Burman, C., et al. (1991). Histogram reduction method for calculating complication probabilities for three-dimensional treatment planning evaluations. *International Journal of Radiation Oncology\* Biology\* Physics*, 21 (1), 137-146.
  - ▶ \*Kutcher, G. J., & Burman, C. (1989). Calculation of complication probability factors for non-uniform normal tissue irradiation: The effective volume method. *International Journal of Radiation Oncology\* Biology\* Physics*, 16 (6), 1623-1630.
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# References

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- ▶ \*Lyman, J.T. (1985). Complication probability as assessed from dose-volume histograms. *Radiation Research*, 104 (2s), S13-S19.
- ▶ Rubin, P., & Casarett, G. (1972). Direction for clinical radiation pathology. the tolerance dose. Tech. rep., Univ. of Rochester, NY.



# Thank You

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- ▶ Dr. Todd McNutt, Mentor
- ▶ Dr. Scott Robertson, Mentor
- ▶ Dr. Russell Taylor, Instructor
- ▶ CIS II Classmates...

